

**UNIVERSIDADE SÃO FRANCISCO**  
Programa de Pós-Graduação *Stricto Sensu* em Ciências da Saúde

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**SdiA, um regulador do mecanismo *quorum sensing*, suprime a expressão de fímbrias, a formação de biofilme e a produção de moléculas de sinalização *quorum sensing* em *Klebsiella pneumoniae***

Bragança Paulista

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Tese apresentada ao Programa de Pós-Graduação *Stricto Sensu* em Ciências da Saúde da Universidade São Francisco, como requisito parcial para obtenção do Título de Doutor em Ciências da Saúde

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**Orientador:** Prof. Dr. Lúcio Fábio Caldas Ferraz

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## Epígrafe

### Irmãos são estações

Verão,  
sempre quente deixando as pessoas à vontade.  
Radiante com sua beleza.  
Ótimo para sair,  
Passear e se divertir.  
Mas não provoque  
Suas chuvas  
Sempre vem com trovoadas

Outono,  
Onde passa deixa sua marca.  
Sempre se transformando  
Centrado, cheio de razão  
Pra tudo tem explicação,  
Mas ótimo para caminhar  
Sempre tem algo a ensinar.

Inverno,  
Elegante sempre 8 ou 80  
Imponente e seguro  
Muitos até acham frio demais  
Mas é apenas o seu jeito de proteger.  
Ele é ótimo para ficar agarradinho  
E tomar um bom vinho

Primavera,  
Colorida com suas estampas floridas  
Alegre e cheia de vida  
Com a canção dos pássaros  
Suas árvores de abertos braços  
Sempre a dar abrigo e proteção

**Julia Hossu**

## RESUMO

*Klebsiella pneumoniae* é um patógeno Gram-negativo que se tornou uma preocupação mundial devido o surgimento de isolados multirresistentes responsáveis por várias doenças infecciosas invasivas. A formação de biofilme constitui um importante fator de virulência para *K. pneumoniae* e depende da expressão de adesinas fimbriais e agregação de células bacterianas em superfícies bióticas ou abióticas de maneira coordenada. Durante a agregação do biofilme, as células bacterianas se comunicam entre si por meio de interações inter ou intra-espécies mediadas por moléculas de sinalização, chamadas de autoindutores, em um mecanismo conhecido como *quorum sensing* (QS). Na maioria das bactérias Gram-negativas, a comunicação intraespécie tipicamente envolve o sistema LuxI / LuxR: LuxI sintase produz N-acil homoserina lactonas (AHLs) como autoindutores e o fator de transcrição LuxR é seu receptor cognato. No entanto, *K. pneumoniae* não produz AHL, mas codifica SdiA, um receptor órfão do tipo LuxR que responde a moléculas exógenas de AHL produzidas por outras espécies bacterianas. Enquanto SdiA regula vários processos celulares e a expressão de fatores de virulência em muitos patógenos, o papel deste regulador em *K. pneumoniae* permanece desconhecido. Neste estudo, descrevemos a caracterização de uma cepa mutante *sdiA* de *K. pneumoniae*. A cepa mutante *sdiA* aumentou a formação de biofilme, o que se correlaciona com o aumento da expressão de fímbrias tipo 1, revelando assim um papel repressivo de SdiA na expressão de fímbrias e na adesão e agregação de células bacterianas. Por outro lado, SdiA atua como um ativador transcricional da divisão de septo, uma vez que as células sem regulador SdiA exibiam uma forma filamentar em vez da forma típica de bastonete. Também mostramos que as células de *K. pneumoniae* sem regulador SdiA apresentam produção constante de autoindutores QS em níveis máximos, sugerindo um papel putativo para SdiA na regulação da produção de AI-2. Em conjunto, nossos resultados demonstram que em *K. pneumoniae* SdiA regula a divisão celular e a expressão de fatores de virulência, como expressão de fímbrias, formação de biofilme e produção de autoindutores de QS.

**Descritores em Português:** *Klebsiella pneumoniae*. Regulador SdiA. Divisão celular. Quorum-sensing. Fímbrias do Tipo 1. Biofilme.

## ABSTRACT

*Klebsiella pneumoniae* is a Gram-negative pathogen that has become a worldwide concern due to the emergence of multidrug-resistant isolates responsible for various invasive infectious diseases. Biofilm formation constitutes a major virulence factor for *K. pneumoniae* and relies on the expression of fimbrial adhesins and aggregation of bacterial cells on biotic or abiotic surfaces in a coordinated manner. During biofilm aggregation, bacterial cells communicate with each other through inter- or intra-species interactions mediated by signalling molecules, called autoinducers, in a mechanism known as quorum sensing (QS). In most Gram-negative bacteria, intraspecies communication typically involves the LuxI/LuxR system: LuxI synthase produces N-acyl homoserine lactones (AHLs) as autoinducers and the LuxR transcription factor is their cognate receptor. However, *K. pneumoniae* does not produce AHL but encodes SdiA, an orphan LuxR-type receptor that responds to exogenous AHL molecules produced by other bacterial species. While SdiA regulates several cellular processes and the expression of virulence factors in many pathogens, the role of this regulator in *K. pneumoniae* remains unknown. In this study, we describe the characterization of *sdiA* mutant strain of *K. pneumoniae*. The *sdiA* mutant strain has increased biofilm formation, which correlates with the increased expression of type 1 fimbriae, thus revealing a repressive role of SdiA in fimbriae expression and bacterial cell adherence and aggregation. On the other hand, SdiA acts as a transcriptional activator of cell division machinery assembly in the septum, since cells lacking SdiA regulator exhibited a filamentary shape rather than the typical rod shape. We also show that *K. pneumoniae* cells lacking SdiA regulator present constant production of QS autoinducers at maximum levels, suggesting a putative role for SdiA in the regulation of AI-2 production. Taken together, our results demonstrate that SdiA regulates cell division and the expression of virulence factors such as fimbriae expression, biofilm formation, and production of QS autoinducers in *K. pneumoniae*.

**Descritores em Inglês:** *Klebsiella pneumoniae*. SdiA regulator. Cell Division. Quorum sensing. Type 1 fimbriae. Biofilm.

### Lista de Símbolos e Abreviações

AB	do inglês <i>Autoinducer Bioassay Medium</i>
ABC	do inglês <i>ATP Binding Cassette</i>
AHL	Acil homoserina lactona
AI	Autoindutores
AI-1	Auto Indutor do tipo 1
AI-2	Auto Indutor do tipo 2
ATP	Adenosina Trifosfato
cDNA	DNA complementar
CPS	Cápsula Polissacarídica
D.O.	Densidade Óptica
DNA	do inglês <i>Deoxyribonucleic acid</i>
EBSL	do inglês <i>Extended-Spectrum Betalactamase</i>
ECP	do inglês <i>Common Pilus</i>
EPS	do inglês <i>Extracellular Polymer Substances</i>
ExPEC	do inglês <i>Extraintestinal Pathogenic Escherichia coli</i>
HTH	do inglês <i>Helix-Turn-Helix</i>
IRAS	Infecções Relacionadas à Assistência à Saúde
IPTG	do inglês <i>isopropyl-beta-D-thiogalactopyranoside</i>
LB	do inglês <i>Lisogenic Broth</i>
LPS	Lipopolissacarídeo
PCR	do inglês <i>Polymerase Chain Reaction</i>
QS	do inglês <i>quorum sensing</i>
RNP	Complexo RNA intrônico-proteína
RT-qPCR	PCR em tempo real quantitativo de transcrição reversa
TF	do inglês <i>Transcription factors</i>
$\sigma$	Sigma

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## 1. INTRODUÇÃO

### 1.1. *Klebsiella pneumoniae*

*Klebsiella ssp.* é uma bactéria Gram-negativa que se apresenta na forma de bacilo e pertence à família *Enterobacteriaceae*. O gênero *Klebsiella* possuiu três espécies associadas com enfermidades humanas: *K. oxitoca*, *K. granulomatis* e *K. pneumoniae* (1), onde os principais sítios de infecção são as feridas cirúrgicas e os tratos gastrointestinal, respiratório e geniturinário.

Por acometer principalmente pacientes imunologicamente deprimidos (2), como recém-nascidos, pacientes cirúrgicos, portadores de neoplasias e diabetes (3), este patógeno é considerado oportunista. Além disso, a maioria das infecções relacionadas à assistência à saúde (IRAS) são causadas por *K. pneumoniae* produtoras de Carbapenemase (4). Essa espécie bacteriana já tem se revelado como uma preocupação mundial, sobretudo em função do aumento de casos de infecções severas, adquiridas na comunidade por linhagens produtoras de enzimas  $\beta$ -lactamases de amplo espectro (EBSL, do inglês *Extended-Spectrum Betalactamase*). As EBSL derivam das clássicas  $\beta$ -lactamases, e apresentam resistência aos  $\beta$ -lactâmicos de amplo espectro, os quais normalmente possuem atividade contra os bacilos Gram-negativos (5).

Vários fatores de virulência, como cápsulas polissacarídicas (CPS), fímbrias adesivas, lipopolissacarídeos (LPS) e produção de biofilme, podem vir a contribuir para a sobrevivência de *K. pneumoniae* (6).

### 1.2. Fímbrias adesivas

Uma estrutura da parede celular, essencial para a patogenicidade de *K. pneumoniae*, é a fímbria adesiva. As fímbrias representam uma etapa crítica no processo infeccioso de *K. pneumoniae*, pois auxiliam na adesão às células hospedeiras (7, 8). Além disso, as fímbrias, juntamente com a cápsula polissacarídica, promovem a aderência de *K. pneumoniae* em superfícies abióticas e estão envolvidas com a formação do biofilme (9-11). Três tipos de fímbrias têm sido estudadas em *K. pneumoniae*: fímbrias do tipo 1, fímbrias do tipo 3 e pílus comum.

Análises no genoma anotado de *K. pneumoniae* MGH 78578 (disponível em [http://www.ncbi.nlm.nih.gov/nuccore/NC\\_009648](http://www.ncbi.nlm.nih.gov/nuccore/NC_009648)) revelam a presença de três *clusters* gênicos de síntese de fímbrias tipo 1, sendo o mais caracterizado deles o *cluster* gênico *fim* constituído pelos genes *fimA*, *fimC*, *fimD*, *fimI*, *fimF*, *fimG* e *fimH* (12). Cada gene codifica uma proteína específica. O gene *fimC* codifica a chaperona, que é uma proteína que atua na ligação de subunidades e adesinas antes da montagem da fímbria, evitando a degradação proteolítica. O gene *fimD* codifica uma proteína integral de ancoragem, que desempenha funções essenciais para a formação das fímbrias, como recrutamento, organização e excreção das pilinas através de poros. As subunidades FimF e FimG atuam em conjunto para compor a extensão de FimA, a maior subunidade fimbrial com função estrutural e a adesina FimH representa a menor subunidade da fímbria (12).

Sensíveis à manose, as fímbrias do tipo 1 têm a adesão mediada pela adesina FimH, que está localizada na extremidade distal das fímbrias tipo 1. A adesina FimH reconhece receptores de integrinas do tipo  $\alpha 1\beta 3$  e proteínas uroplaquínas contendo manose e que estão presentes na superfície luminal das células uroteliais da bexiga (13, 14). Sendo assim, as fímbrias do tipo 1 são um importante fator de virulência em *K. pneumoniae*, desempenhando um papel crucial na adesão das bactérias nas células da bexiga e, como consequência, na infecção do trato geniturinário (12).

Um dos mecanismos de regulação da expressão do *cluster fim* envolve um processo conhecido como variação de fases. Esse mecanismo refere-se a um interruptor reversível entre um “ligar / desligar” (ON / OFF), resultando na variação da expressão de uma ou mais proteínas. Dessa forma a bactéria tem a capacidade de expressar fímbrias, cápsulas ou outra estrutura dependendo da necessidade (15). Além deste mecanismo, a expressão do *cluster fim* também é regulada pelo gene *fimK*, único gene do *cluster fim* encontrado apenas em *K. pneumoniae*, e ausente em *E. coli*. O gene *fimK* atua como um fator inibidor da expressão de fímbrias e da formação de biofilme, uma vez que linhagens mutantes para este gene apresentam elevado nível de expressão de fímbrias e formação de biofilme (14).

As fímbrias do tipo 3 não influenciam diretamente a virulência de *K. pneumoniae*, mas são fundamentais no processo de formação de biofilme em superfícies abióticas, tendo, portanto, um papel no desenvolvimento de infecções em pacientes cateterizados (8, 16). Em *K. pneumoniae* as fímbrias do tipo 3 são codificadas pelo *cluster mrk* e são compostas pelos genes *mrkA*, *mrkB*, *mrkC*, *mrkD*, *mrkE* e *mrkF*. Estes genes codificam proteínas, que atuam em conjunto para a formação da fímbria.

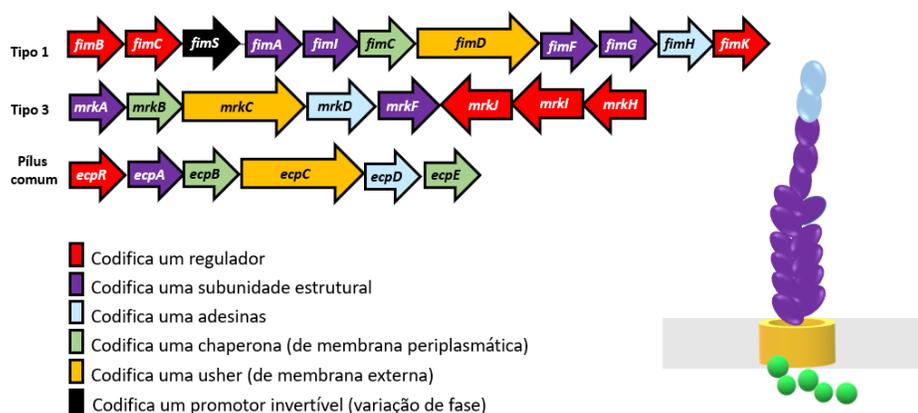
Em *K. pneumoniae*, *mrkE* codifica um provável regulador da transcrição de fímbrias do tipo 3. O gene *mrkB* codifica a chaperona, que é uma proteína que atua na ligação de subunidades antes da montagem da fímbria. Uma proteína de ancoragem especializada no recrutamento, organização e excreção das pilinas através de poros é codificada pelo gene *mrkC*. O gene *mrkA* codifica a maior subunidade da fímbria, de função estrutural, enquanto o gene *mrkD* codifica uma adesina, responsável pela adesão fimbrial. A menor subunidade da fímbria, MrkF, com função desconhecida, é codificada pelo gene *mrkF*. Acredita-se que MrkF seja uma proteína de ancoragem que influencie na montagem fimbrial e na modulação da atividade das fímbrias do tipo 3 (8, 17).

Estudos analisaram a formação de biofilme em 69 linhagens de *K. pneumoniae*, sendo 14 negativas para o gene *mrkD*. A ausência da adesina MrkD, não interferiu no processo de adesão durante o desenvolvimento do biofilme, sendo a adesão mediada pela subunidade maior MrkA (11). Posteriormente, Jagnow e coautores (2003) apuraram que a MrkD de fato não interfere no processo de adesão durante a formação do biofilme em condições abióticas, entretendo, simulando situação biótica através de ensaios *in vitro*, comprovaram que a presença de MrkD é essencial para a formação de biofilme na matriz extracelular e superfícies revestidas de colágeno (18).

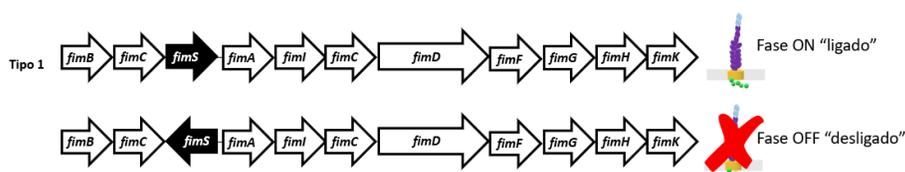
O pílus comum de *E. coli*, ou ECP (do inglês *E. coli Common Pilus*), é uma estrutura

adesiva produzida por todos os grupos de *E. coli* e homólogo do operon *ecpABCDE* que está presente em *K. pneumoniae* (19). Estudos mostraram que ECP tem um importante papel na aderência celular e formação de biofilmes, principalmente em cepas sem a adesina MrkD ou com a ausência do cluster MrK (19). Regulada pelo regulador EcpR, a fimbria ECP é constituída pela pilina EcpA, pelas prováveis chaperonas EcpB e EcpE, provável usher EcpC e adesina EcpD (20). Na FIGURA 1 é possível observar a organização dos clusters *fim*, *mrk* e *ecp*, bem como a variação da orientação do elemento *fimS* em *K. pneumoniae*.

A



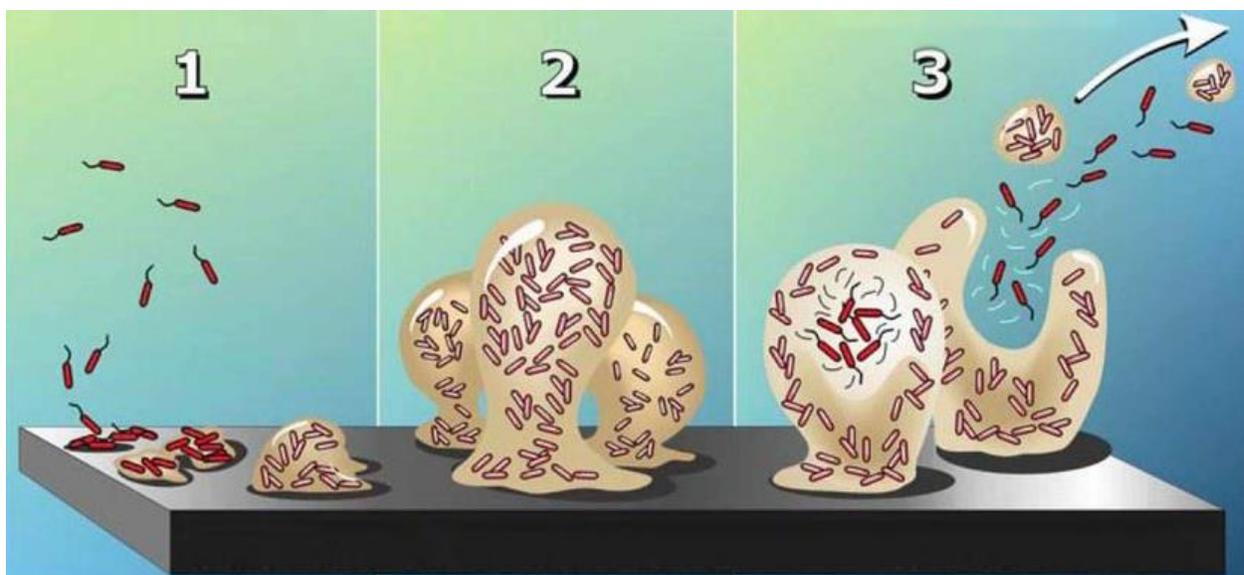
B



**FIGURA 1. Esquematização dos clusters *fim*, *mrk* e *ecp* (A) e variação da orientação do elemento *fimS* (B).** Os genes dos clusters fimbriais codificam subunidades que em conjunto, atuam na montagem das fímbricas e reguladores que podem controlar a expressão das fímbricas. Nas fímbricas do tipo 1 o elemento *fimS*, que contém a região promotora do cluster *fim*, pode variar a direção de orientação e ativar ou reprimir a expressão das fímbricas. Fonte: Este estudo.

### 1.3. Biofilme

Como estratégia de sobrevivência, as bactérias também são capazes de formar biofilmes. Biofilmes são comunidades microbianas que crescem ligadas a superfícies e que são incorporadas em uma matriz de substâncias poliméricas extracelulares (EPS, do inglês *Extracellular Polymer Substances*) produzidas por elas mesmas (21). Os biofilmes podem ser divididos nos estágios de aderência, maturação e dispersão, como mostrado na FIGURA 2 (22).



**FIGURA 2. Esquema exemplificando as etapas da formação de biofilme.** Primeiramente ocorre o contato e a adesão das células bacterianas à superfície (1), posteriormente ocorre o desenvolvimento de micro colônias e a formação da matriz extracelular resultando no aumento do biofilme (2), quando o biofilme atinge o estado de maturação, ele se rompe liberando os micro-organismos (3). Imagem adaptada de Klapper (23)

A dispersão do biofilme pode ainda ser dividida em ativa, que é quando a matriz do biofilme é degradada pelos próprios microrganismos que a compõem, ou passiva, que se refere a degradação mediada por forças externas como abrasão ou intervenção humana (21).

Os biofilmes são alvo de estudos, por alcançarem diversos ambientes, seja biótico ou abiótico. Atualmente sabe-se que os biofilmes podem se formar em mucosas (fibrose cística), nos dentes (placa dentária) e nas tubulações em geral (22). Devido a capacidade de colonizar ambientes hostis, os microrganismos associados com o biofilme, são considerados um importante problema de saúde pública. Estudos recentes apontam que as bactérias frequentemente envolvidas em infecções associadas ao biofilme são as Gram-positivas *Staphylococcus epidermidis*, *Staphylococcus aureus* e espécies de *Streptococcus* e Gram-negativas *P. aeruginosa* e Enterobactérias tais como *E. coli* e *K. pneumoniae* (22).

Estudos identificaram que cepas clínicas isoladas de ossos e trato respiratório, demonstraram formação de biofilme mais elevada em comparação com cepas isoladas de urina e sangue (24). Esse resultado pode explicar a capacidade de virulência e colonização bem-sucedida das bactérias

patogênicas em dispositivos médicos, tais como, cateteres e sondas, resultando em um diagnóstico não-favorável em pacientes hospitalizados (9).

As cápsulas polissacarídicas e as fímbrias adesivas, são descritas como estruturas extracelulares essenciais no desenvolvimento do biofilme (25, 26). As fímbrias auxiliam no processo de adesão da bactéria à superfície (10), enquanto que as cápsulas polissacarídicas protegem as bactérias da ação de antimicrobianos (27). Estudos também apontam que, em algumas bactérias, uma linguagem ou um mecanismo de percepção, denominado *quorum-sensing*, é essencial para a etapa de formação do biofilme (28).

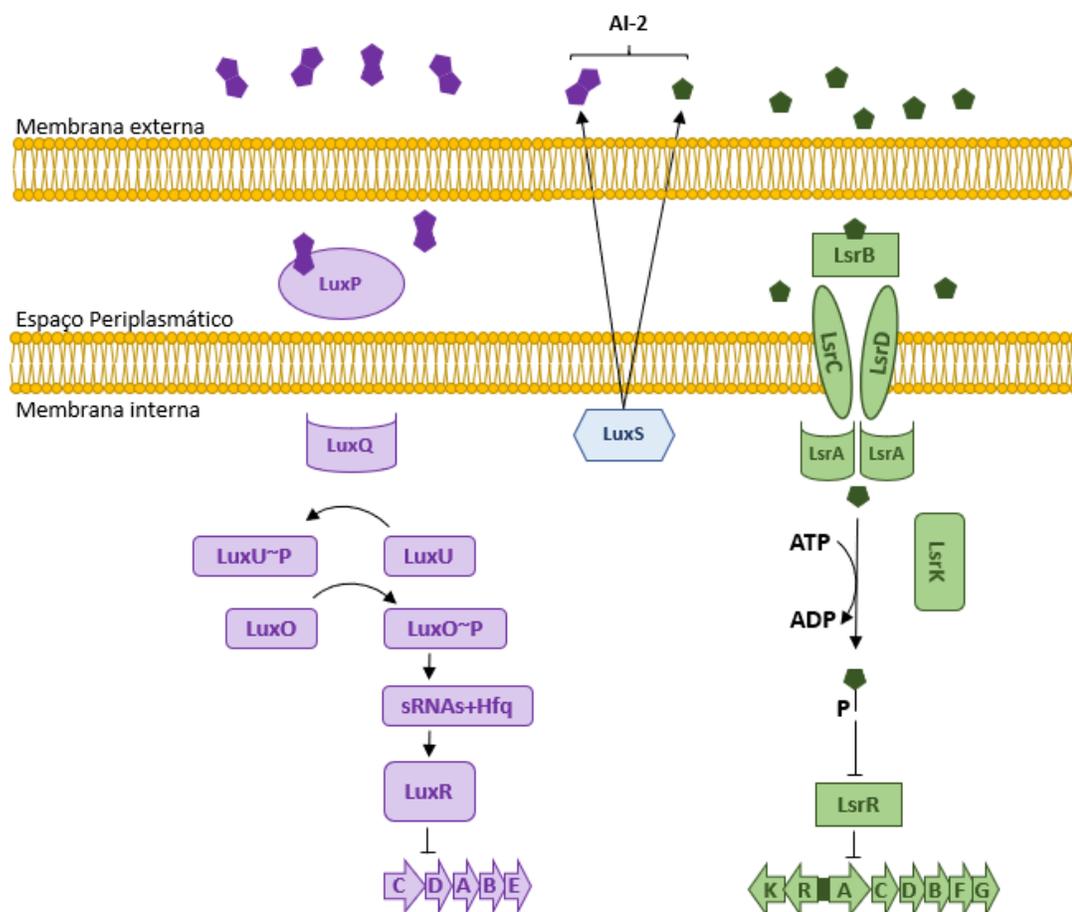
#### 1.4. Quorum-sensing

O *quorum-sensing* é um mecanismo com o qual as bactérias se comunicam entre si por meio da secreção e detecção de pequenas moléculas sinalizadoras denominadas auto-indutores (AI). Este fenômeno, que tem sido observado tanto em interações simbióticas quanto patogênicas, permite que as bactérias monitorem mudanças na densidade celular ao regular a expressão de genes específicos. Em bactérias Gram-negativas existem principalmente dois mecanismos de *quorum-sensing*, dependendo do auto-indutor envolvido. O *quorum-sensing* do tipo 2 (AI-2), está relacionado a comunicações entre espécies diferentes de bactérias e foi inicialmente descrito em *Vibrio harveyi* (29). Neste tipo de *quorum-sensing* o auto-indutor é sintetizado pela proteína LuxS codificada pelo gene *luxS*. LuxS cliva a *S-ribosil-homocisteína* em homocisteína e 4,5-dihidroxi-2,3-pentanedione (DPD). AI-2 estão presentes em *Vibrio harveyi* como uma furanosil borato diéster (30) enquanto que em *Salmonella* AI-2 tem a estrutura de uma furanona não boratada (31).

A ativação do sistema AI-2 de *quorum-sensing* envolve uma cascata de eventos. Em *Vibrio harveyi*, primeira bactéria o qual foi descrito este mecanismo de comunicação, o AI-2 se liga ao receptor periplasmático LuxP, e o complexo LuxP/AI-2 interage com a proteína sensor LuxQ presente na membrana. LuxQ é uma histidina kinase que fosforila a proteína citoplasmática LuxU. LuxU transfere o fosfato para a proteína reguladora LuxO que, em associação com o fator de transcrição  $\sigma_{54}$ , ativa a transcrição dos genes alvos (32).

O mecanismo do *quorum-sensing* do tipo 2 é semelhante em *K. pneumoniae*, *E. coli* e *S. entérica*, entretanto, os genes responsáveis pela detecção de AI-2 são denominados *lsr* (33, 34). O receptor periplasmático LsrB é responsável pela internalização da AI-2. Duas proteínas transmembranas (LsrC e LsrD) formam um canal e uma proteína citoplasmática (LsrA) que contém um motivo de ligação ao ABC e é considerado responsável pela hidrólise do ATP durante o transporte. No interior da célula, a AI-2 é fosforilada pela quinase LsrK e posteriormente processada pelas enzimas LsrG e LsrF (34, 35). Os genes que codificam essas proteínas (com exceção do LsrK) estão todos no mesmo operon, que é regulado pelo repressor LsrR. Na ausência de fosfo-AI-2, o LsrR reprime a transcrição do operon *lsr*; no entanto, quando o AI-2 é internalizado e fosforilado pelo LsrK, o AI-2 se liga ao LsrR, causando a desrepressão do operon. Assim, o aumento da expressão do sistema Lsr leva ao aumento da importação de AI-2, resultando

em uma rápida depleção de AI-2 do meio extracelular (36). A FIGURA 3 ilustra como acontece o mecanismo *quorum-sensing* do tipo AI-2 em *V. harveyi* e *K. pneumoniae*.



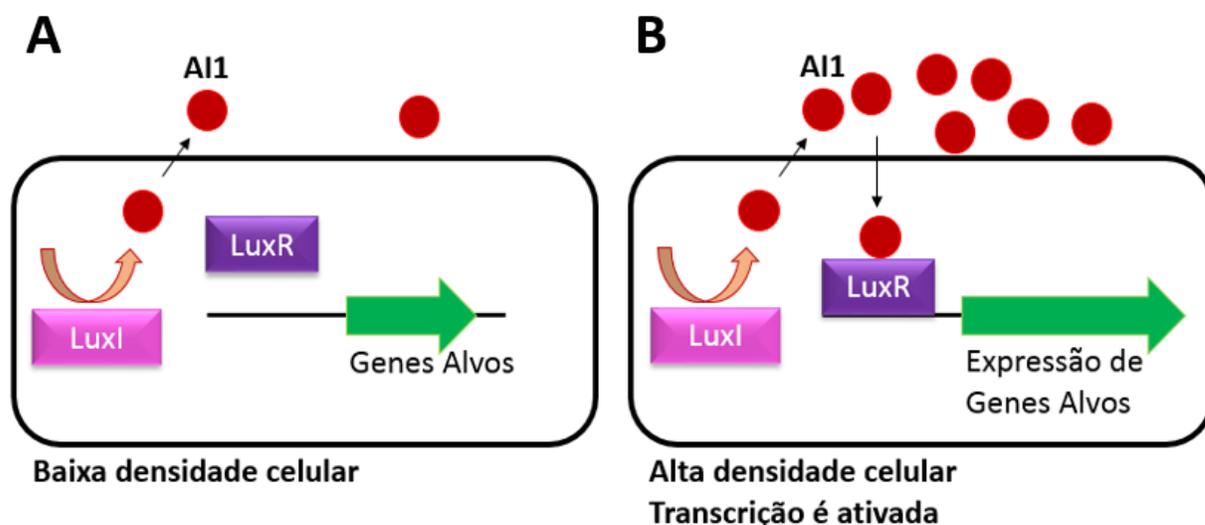
**FIGURA 3. Quorum-sensing do tipo A2 em *Vibrio harveyi* (roxo) e *K. pneumoniae* (verde).** As moléculas auto-indutoras do tipo 2 (AI-2) são produzidas pela proteína LuxS na membrana interna (MI). As AI-2 difundem-se livremente através da membrana celular e em alta densidade celular, liga-se ao receptor periplasmático (RP) LuxP/LsrB, e o complexo RP/AI-2 interage com as demais proteínas ativando em *V. harveyi* a bioluminescência e em *K. pneumoniae* a transcrição dos genes alvos. Imagem adaptada de Rezzonico, Smits & Duffy (37).

Além de associado à formação de biofilme, o mecanismo de *quorum-sensing* regula outros processos celulares relacionados à patogenicidade, incluindo motilidade, expressão de genes de virulência, regulação dos sistemas de secreção, e de assimilação de ferro (38). Auto-indutores do tipo AI-2 tem um papel crítico na regulação da transcrição de genes envolvidos com a formação de flagelos em *H. pylori* (39). Em *P. aeruginosa*, a expressão de muitos genes de virulência é controlada por moléculas sinalizadoras que são sintetizadas e secretadas por esta bactéria (40, 41).

O sistema de *quorum-sensing* do tipo 1 (AI-1) utiliza como auto-indutor a *acil homoserina lactone* (AHL) e seus derivados e está relacionado a comunicações intraespécies. Este sistema foi inicialmente descrito em *Vibrio fischeri* e envolve dois genes: o gene *luxI*, que codifica a enzima

AHL sintetase, e o gene *luxR*, que codifica um regulador de transcrição (42). Genes homólogos ao sistema *luxRI* têm sido identificados em outras bactérias (43), nas quais recebe outras denominações, como por exemplo *lasRI* e *rhlRI* em *P. aeruginosa* (44, 45), *cepRI* em *Burkholderia cepacia* (46) e *afeRI* em *Acidithiobacillus ferrooxidans* (47).

A AHL é sintetizada no citoplasma celular de maneira proporcional à densidade celular e difunde passivamente ao meio externo. Em situações de grande densidade celular, as concentrações intra e extracelular deste auto-indutor atingem um limiar que ativa o sistema AI-1 de *quorum-sensing*. Quando este limiar é alcançado, a AHL forma um complexo com o regulador de transcrição; o complexo AHL-regulador se liga às sequencias reguladoras chamadas *boxes Lux* localizadas na região *upstream* dos genes alvos, ativando a transcrição destes genes (32). A FIGURA 4 ilustra como acontece o mecanismo *quorum-sensing* do tipo A1 em *Vibrio fischeri*.

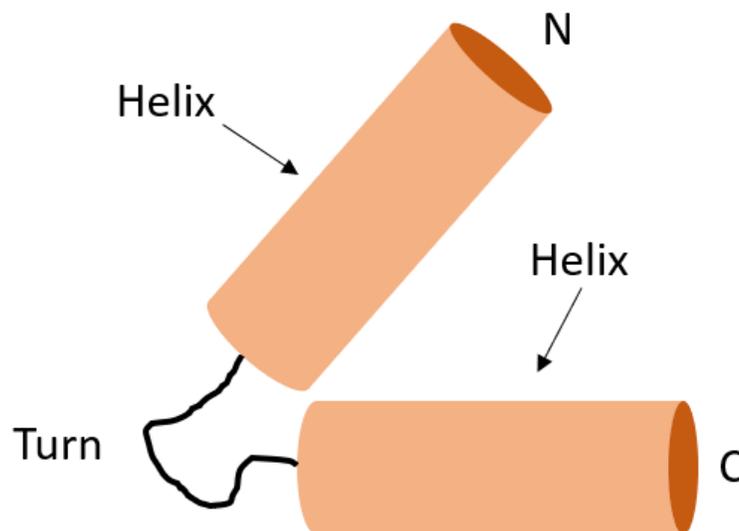


**FIGURA 4. Quorum-sensing do tipo A1 em *Vibrio fischeri*.** A produção de auto-indutores é catalisada pela enzima AHL sintetase (LuxI). A AHL difunde-se livremente através da membrana celular, e quando em baixa densidade celular (A), a transcrição de genes alvos não é ativada. Quando o AHL se apresenta em alta densidade celular (B), o regulador de transcrição LuxR se liga à AHL formando um complexo que, por sua vez, ativa a transcrição dos genes alvos. Imagem adaptada de Kareb & Aider (48).

Até o momento, não foi identificado membro da família *luxI* em *Salmonella spp.*, *Escherichia spp.*, *Shigella spp.* e *Klebsiella spp.* Dessa forma, essas bactérias são ditas como não produtoras de AHL. Entretanto, para detectar os AHLs sintetizados por outras espécies bacterianas, esses organismos utilizam um homólogo LuxR denominado SdiA (49, 50), o qual teve sua nomenclatura advinda da primeira função identificada: supressor da inibição da divisão (51, 52). Apesar de SdiA ter sido caracterizado como um regulador da expressão do cluster *ftsQAZ* (53), observou-se mais tarde que expressão de *ftsQAZ* só é aumentada através da superexpressão do gene *sdiA* através de plasmídeos de expressão e não ao *sdiA* cromossômico (54).

Ensaio de cristalização de proteínas realizado com o SdiA de *E. coli*, mostrou a formação de um dímero simétrico e que este regulador é composto por um domínio de ligação ao DNA do tipo

*helix-turn-helix* (HTH) em sua região C-terminal e um sítio de ligação aos autoindutores AHLs, na região N-terminal (KIM et al., 2013). Os domínios HTH são encontrados em muitas proteínas que regulam a expressão gênica e recebem esse nome devido à presença de duas  $\alpha$ -hélices (Helix) adjacentes separadas por uma volta (*turn*) de aminoácidos permitindo que a proteína se ligue ao DNA (FIGURA 5) (55).



**FIGURA 5. Domínio Helix Turn Helix (HTH) em proteínas.** Encontrados em muitas proteínas que regulam a expressão gênica, os domínios HTH possuem duas  $\alpha$ -hélices (Helix) adjacentes separadas por uma volta (turn) de aminoácidos permitindo que a proteína se ligue ao DNA. Imagem adaptada de Boom (56).

Apesar da similaridade estrutural com outros receptores de *quorum-sensing*, SdiA difere na orientação relativa dos dois domínios, sugerindo que as funções de ligação ao ligante e de ligação ao DNA são independentes. No estudo de Kim et. al (2013) a afinidade de SdiA ao promotor *ftsQP2* mostrou insensibilidade à presença de auto-indutores, o que sugeriu que os auto-indutores melhoram a estabilidade e funcionalidade do SdiA, mas não afetam diretamente a afinidade de ligação ao DNA (57).

Em *E. coli*, foi identificado que 15 fatores de transcrição (TF *do inglês transcription factors*) se ligam na região promotora de *sdiA* e os estudos mais detalhados identificaram que 5 desses TFs, que correspondem a ArcA, CpxR, OmpR, RcsB e TorR, atuam como repressores de *sdiA*, desde que esses TFs sejam expressos e ativados sob condições específicas de estresse (58). O mesmo estudo propõe que que esses reguladores reprimam a transcrição *in vivo* de *sdiA* suprimindo a divisão celular.

Os estudos em *E. coli*, revelaram que SdiA diminui a formação de biofilme (59), reprime a expressão de fatores de virulência (59, 60), aumenta a resistência a drogas (61, 62), bem como a tolerância a acidez (63), desempenhando, portanto, um papel importante na virulência do patógeno.

Assim, este estudo teve como finalidade investigar a patogenicidade do gene *sdiA* em *K. pneumoniae*, uma vez que poucos são os estudos que revelam o papel desse gene em *Klebsiella*.

Além disso, não há investigação sobre a caracterização do papel do gene *sdiA*, no mecanismo de *quorum-sensing* em e formação de biofilme em *K. pneumoniae*.

## 2. OBJETIVOS

O presente trabalho teve como objetivo investigar o papel de SdiA na patogenicidade de *Klebsiella pneumoniae* avaliando a formação de biofilme, expressão de fímbrias e produção de moléculas autoindutoras de *quorum sensing* em uma cepa de *K. pneumoniae*.

### 2.1. Objetivos específicos

- a. Construir uma linhagem mutante e complementar para o gene *sdiA*;
- b. Verificar a influência do gene *sdiA* na formação de biofilme e formação de película líquido-ar;
- c. Investigar o padrão de expressão de genes fimbriais e genes relacionados à divisão celular na presença e ausência de SdiA;
- d. Analisar os efeitos da inativação de SdiA na produção de moléculas AI-2.

### 3. ARTIGO

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Nesse estudo apontamos um possível papel do regulador SdiA na patogênese de *K. pneumoniae*. Os resultados indicaram que o regulador de *quorum-sensing* suprime a expressão de fímbrias do tipo 1, formação de biofilme e produção de autoindutores de *quorum sensing* em *K. pneumoniae*. Além disso, pela primeira mostramos a ligação de SdiA com a região promotora do cluster de fímbrias do tipo 1, fim; com o cluster de genes de divisão celular *ftsQAZ*, e com os genes relacionados à síntese e processamento de moléculas de AI-2 em *K. pneumoniae*, *luxS* e *lsrA-lsrR*. Como SdiA detecta e responde a AHL produzido por outras espécies, supomos que a modulação desses fatores de virulência pode ser orquestrada de forma coordenada por meio de comunicação interespecie mediada por SdiA.



# SdiA, a Quorum-Sensing Regulator, Suppresses Fimbriae Expression, Biofilm Formation, and Quorum-Sensing Signaling Molecules Production in *Klebsiella pneumoniae*

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*Klebsiella pneumoniae* is a Gram-negative pathogen that has become a worldwide concern due to the emergence of multidrug-resistant isolates responsible for various invasive infectious diseases. Biofilm formation constitutes a major virulence factor for *K. pneumoniae* and relies on the expression of fimbrial adhesins and aggregation of bacterial cells on biotic or abiotic surfaces in a coordinated manner. During biofilm aggregation, bacterial cells communicate with each other through inter- or intra-species interactions mediated by signalling molecules, called autoinducers, in a mechanism known as quorum sensing (QS). In most Gram-negative bacteria, intra-species communication typically involves the LuxI/LuxR system: LuxI synthase produces *N*-acyl homoserine lactones (AHLs) as autoinducers and the LuxR transcription factor is their cognate receptor. However, *K. pneumoniae* does not produce AHL but encodes SdiA, an orphan LuxR-type receptor that responds to exogenous AHL molecules produced by other bacterial species. While SdiA regulates several cellular processes and the expression of virulence factors in many pathogens, the role of this regulator in *K. pneumoniae* remains unknown. In this study, we describe the characterization of *sdiA* mutant strain of *K. pneumoniae*. The *sdiA* mutant strain has increased biofilm formation, which correlates with the increased expression of type 1 fimbriae, thus revealing a repressive role of SdiA in fimbriae expression and bacterial cell adherence and aggregation. On the other hand, SdiA acts as a transcriptional activator of cell division machinery assembly in the septum, since cells lacking SdiA regulator exhibited a filamentary shape rather than the typical rod shape. We also show that *K. pneumoniae* cells lacking SdiA regulator present constant production of QS

autoinducers at maximum levels, suggesting a putative role for SdiA in the regulation of AI-2 production. Taken together, our results demonstrate that SdiA regulates cell division and the expression of virulence factors such as fimbriae expression, biofilm formation, and production of QS autoinducers in *K. pneumoniae*.

**Keywords:** *Klebsiella pneumoniae*, SdiA regulator, cell division, quorum sensing, type 1 fimbriae, biofilm

## INTRODUCTION

*Klebsiella pneumoniae* is a Gram-negative bacterium responsible for various diseases that has become a worldwide concern due to the increase in cases of severe infections in the community (Keynan and Rubinstein, 2007; Lin et al., 2010; Holt et al., 2015). Much of *K. pneumoniae* pathogenicity comes from its ability to form biofilms (Li et al., 2014; Paczosa and Meccas, 2016), which are microbial communities that grow attached to surfaces and typically surrounded by a matrix of self-produced extracellular polymeric substances (Hall-Stoodley et al., 2004). Biofilm formation by *K. pneumoniae*, which can occur on biotic and abiotic surfaces, represents a relevant mechanism to protect the bacterium from host immunity and antimicrobial agents (Li et al., 2014; Paczosa and Meccas, 2016).

During biofilm aggregation, the bacterial cells communicate with each other through inter- or intra-species interactions mediated by a mechanism called quorum sensing (QS). By this process, bacteria produce and detect specific signaling molecules to coordinate gene expression according to the bacterial cell density (Bassler et al., 1993; Miller and Bassler, 2001). Signaling is mediated by chemical molecules, known as autoinducers (AI), that determine two main types of cell-cell communication: AI-1 and AI-2 QS regulatory systems.

AI-2 QS system allows intra- and inter-species communication and utilizes cyclic furanones compounds as AI-2 signaling molecules. AI-2 is synthesized by LuxS synthase, a key enzyme of the activated methyl cycle that converts S-ribosylhomocysteine to homocysteine and 4,5-dihydroxy-2,3-pentanedione, which spontaneously rearranges to form the AI-2 molecules (Schauer et al., 2001; Kendall and Sperandio, 2014). Highly conserved *luxS* gene homologues have been found in several Gram-negative and Gram-positive bacteria (Bassler et al., 1993; Miller and Bassler, 2001), including *K. pneumoniae* (Balestrino et al., 2005). The regulatory network for AI-2 metabolism in *K. pneumoniae* also relies on *lsr* (comprized of *lsrACDBFG*) and *lsrRK* operons, which are located adjacent to one another in the genome but are transcribed divergently (Xavier and Bassler, 2005b; Pereira et al., 2009; Pereira et al., 2013). The first four genes of the *lsr* operon (*lsrACDB*) encode an ATP-binding cassette (ABC) transporter system that uptakes the AI-2 molecules, while the remaining genes, *lsrFG*, are required for processing AI-2 molecules following internalization. Once inside the cell, AI-2 is phosphorylated by the cytoplasmic kinase LsrK, and the activated phospho-AI-2 molecule binds to the transcriptional repressor LsrR and inactivates it. In the absence of phospho-AI-2, LsrR represses the transcription of the *lsr* operon and regulates its own

expression by repressing the *lsrRK* operon. While LsrR represses the expression of both *lsrR* and *lsr* operon, cyclic adenosine monophosphate (cAMP) complexed with the cAMP-receptor protein activates their expression (Wang et al., 2005). In *K. pneumoniae*, the operons *lsr* and *lsrRK* are up-regulated in mature biofilm (Guilhen et al., 2016). Besides, previous studies with *K. pneumoniae luxS* and *lsrCD* mutant strains revealed a regulatory role of AI-2 QS system on biofilm formation and lipopolysaccharide synthesis (Ng and Bassler, 2009; Tavio et al., 2010).

AI-1 QS system utilizes *N*-acyl-L-homoserine lactones (AHLs) as autoinducers and represent the major QS system used by Gram-negative bacteria for intra-species communication and to monitor their own population density (Engebrecht and Silverman, 1984; Ng and Bassler, 2009). In most bacteria, the AI-1 QS mediated by AHLs involves a typical system composed of LuxI and LuxR proteins: LuxI is the enzyme that synthesizes AHLs molecules and LuxR is the cognate receptor that acts as a transcriptional regulator in response to the binding of the AHL autoinducers (Engebrecht and Silverman, 1984; Ng and Bassler, 2009). A variety of AHLs molecules have been identified, each differing in length, oxidation state at  $\beta$ -position, and saturation degree of the *N*-acyl side chain. Intriguingly, some Gram-negative bacteria encode LuxR receptors but do not produce AHLs because they lack LuxI synthase. These LuxR-type receptors without their corresponding LuxI synthase are known as “solo” or “orphan” receptors (Fuqua, 2006; Patankar and González, 2009). For instance, bacteria from the genera *Salmonella*, *Escherichia*, and *Klebsiella* harbor no *luxI* gene homologues in their genome and, therefore, they are considered non-AHL producers (Michael et al., 2001). Nonetheless, these enteropathogens encode SdiA, an orphan LuxR-type receptor that senses and responds to AHLs synthesized by other species of bacteria (Ahmer, 2004; Janssens et al., 2007). Although most members of the *Enterobacteriaceae* family contains solo *sdiA*, species from the genus *Pantoea* and *Erwinia* harbor *luxI* homologs which represent descendants of the ancient LuxI protein paired with SdiA (Sabag-Daigle and Ahmer, 2012).

SdiA stands for “suppressor of cell division inhibition” and directly regulates gene expression by binding to regulatory elements, termed SdiA-box, located at the promoter region of the target genes (Yamamoto et al., 2001). Reports indicate that the nucleotide sequence of the SdiA-box consists of the sequence AAAA (with minor variations) at both ends, intercalated with a spacer sequence that can vary from 8, 10, to 18 nucleotides (Yamamoto et al., 2001; Shimada et al., 2014; Lu et al., 2017; Ma et al., 2020). SdiA regulates the transcription of the target genes by complexing with AHLs synthesized by other bacterial

species (Michael et al., 2001; Smith and Ahmer, 2003; Ahmer, 2004), in response to synthetic AHLs (Shimada et al., 2014; Styles et al., 2020), or even in the absence of AHLs (Yamamoto et al., 2001; Dyszel et al., 2010; Shimada et al., 2014; Nguyen et al., 2015). Besides, non-AHL molecules have been identified as SdiA ligands, such as xylose (Yao et al., 2007) and the endogenous ligand 1-octanoyl-*rac*-glycerol (Nguyen et al., 2015). Indole has also been suggested to influence SdiA-mediated gene transcription (Lee et al., 2007; Lee et al., 2009), although this claim is contradictory, since some authors have reported that the effects of indole on *Escherichia coli* and *Salmonella enterica* is not mediated by *sdiA* (Sabag-Daigle et al., 2012; Kohli et al., 2018).

Acting as a transcriptional regulator, SdiA has been implicated in the regulation of several cellular processes including cell division (Sitnikov et al., 1996) and in the expression of virulence factors such as antibiotic resistance, motility and biofilm formation (Kanamaru et al., 2000; Sharma et al., 2010; Antunes et al., 2010; Tavio et al., 2010; Culler et al., 2018; Ma et al., 2020). Bacterial cell division relies on the *ftsQAZ* operon, which encodes the FtsQ, FtsA, and FtsZ proteins responsible for recruiting and assembling cell division machinery in the septum. Regulation of this operon is complex and involves multiple promoters and several transcriptional regulators (Joseleau-Petit et al., 1999). In *E. coli*, two promoters located upstream of *ftsQ* gene are responsible for independent transcriptional regulation of the full operon: P1 promoter is controlled by the stationary-phase Sigma factor RpoS, while P2 promoter is controlled by SdiA (Wang et al., 1991; Sitnikov et al., 1996). SdiA plays an important role during cell division because it acts as a positive regulator in the expression of *ftsQAZ* operon (Wang et al., 1991), and *in vitro* assays confirmed DNA-binding activity of purified SdiA to the *ftsQ* promoter (Shimada et al., 2014). Regarding virulence factors, SdiA regulates biofilm formation through regulation of fimbriae and curli genes (Culler et al., 2018). Studies have shown that fimbriae play an important role during biofilm formation by *K. pneumoniae* (Schroll et al., 2010; Alcántar-Curiel et al., 2013), but the role of SdiA in this process has not yet been addressed in this bacterium.

*K. pneumoniae* encodes several types of fimbriae. The most studied fimbriae are those of type 1, type 3 and the common pilus encoded by the *fim*, *mrk*, and *ecp* gene clusters, respectively (Alcántar-Curiel et al., 2013; Li et al., 2014). Type 3 fimbriae mediate adhesion to several types of cells and they are essential for biofilm formation on abiotic surfaces, thus playing a role in the development of infections in catheterized patients (Struve et al., 2009; Stahlhut et al., 2012; Li et al., 2014). On the other hand, type 1 fimbriae are essential for urinary tract infection (Struve et al., 2008). Due to the high affinity for mannose residues present on the bladder cells surface (Rozen and Skaletsky, 2000), type 1 fimbriae promote adhesion and invasion of epithelial bladder cells, leading to the formation of biofilm-like intracellular bacterial communities (Rosen et al., 2008). The expression of the *fim* cluster is regulated by a mechanism known as phase variation (van der Woude and Baumler, 2004). The

phase variation involves the *fimS* element, a DNA fragment located immediately upstream to the *fimA* gene that harbors the promoter region of *fim* cluster and has the capacity to suffer inversion of its orientation. Thus, depending on the orientation of *fimS*, the expression of *fim* gene cluster is activated or inactivated and the bacterium can shift from a fimbriated (phase ON) to a non-fimbriated (phase OFF) phenotype. Two recombinases, encoded by the *fimE* and *fimB* genes located upstream to *fimS*, control the inversion of the *fimS* element (van der Woude and Baumler, 2004).

While the role of SdiA in regulating the expression of several virulence factors in many pathogens is well documented, little is known about the role of this regulator in *K. pneumoniae*. Therefore, the present work aimed to investigate the role of SdiA in *Klebsiella pneumoniae* pathogenicity by assessing biofilm formation, fimbriae expression and production of quorum sensing autoinducers on an *sdiA* mutant strain of *K. pneumoniae*.

## MATERIALS AND METHODS

### Bacterial Strains and Culture Conditions

*Klebsiella pneumoniae* strain ATCC 10031 and an isogenic mutant strain deficient for *sdiA* gene were used throughout this study. Bacterial strains were routinely grown in Lysogeny Broth (LB; BD, United States) at 37°C with shaking at 200 rpm, and on LB agar under static cultures. Bacterial growth was monitored by measuring the optical density (O.D.) of the cultures at wavelength of 600 nm (O.D.<sub>600nm</sub>) using the GeneQuant Spectrophotometer (GE Healthcare). Antibiotics were added when appropriated at the following concentrations: ampicillin at 100 µg/mL, kanamycin at 25 µg/mL, chloramphenicol at 25 µg/mL, and erythromycin at 50 µg/mL.

For Reverse Transcription Quantitative Real-Time PCR (RT-qPCR) analyses and detection of autoinducers type 2, the strains were grown in LB medium with the addition of 2% glucose, since glucose stimulates *K. pneumoniae* to produce more AI-2 (Zhu et al., 2012).

To investigate the phenotypic effects of AHL on the *K. pneumoniae* strains, the assays carried out in this study were conducted using bacterial cells cultured in the absence or in the presence of 2 µM (final concentration) of the synthetic AHL *N*-Octanoyl-L-homoserine lactone (C8-HSL, Sigma-Aldrich). Previous studies have indicated that C8-HSL is an effective AHL autoinducer for both *E. coli* and *Salmonella enterica* SdiA (Michael et al., 2001; Yao et al., 2006; Lee et al., 2007; Kim et al., 2013; Shimada et al., 2014).

For indirect measurements of AI-2 molecules produced by the *K. pneumoniae* strains we used the reporter strain *Vibrio campbellii* MM32 (ATCC® BAA-1121<sup>TM</sup>). This strain is unable to produce AI-2 and to sense AHL due to mutations on *luxS* and *luxN* receptor genes, respectively. Autoinducer Bioassay medium (AB medium) was used to culture *V. campbellii* and also as the assay medium for AI-2 detection (Bassler et al., 1993), and consisted of 0.3 M NaCl, 0.05 M MgSO<sub>4</sub> and 0.2% vitamin-free acid casamino, adjusted to pH 7.5 with KOH and sterilized by autoclaving. After reaching room temperature, 10 mL of 1 M

potassium phosphate (pH 7.0), 10 mL of 0.1 M L-arginine and 20 mL of glycerol were added for each liter of the initial solution.

## Generation of *K. pneumoniae sdiA* Mutant Strain

SdiA-deficient ATCC 10031 mutant strain was generated using TargeTron Gene Knockout System (Sigma-Aldrich), following a protocol previously standardized by us (Gomes et al., 2021). The TargeTron system produces an RNA-protein complex (RNP) that inserts a modified group II intron of *Lactococcus lactis* (L1. LtrB Intron) permanently on the coding region of the target gene. The knockout renders the mutant strain resistant to kanamycin antibiotic, because the group II intron RNA harbors a kanamycin resistance gene (*kan<sup>R</sup>*). A computer algorithm at Sigma-Aldrich TargeTron Design website was used to identify the most efficient target site on *sdiA* gene (Supplementary Table 1). The website also provided the nucleotide sequence of three primers (Supplementary Table 2) used to mutate (re-target) the intron by PCR reactions. The mutated 350 bp PCR fragment was cloned into the pACD4K-C vector provided by the manufacturer. Subsequently, the recombinant pACDK-4-C vector were transformed into *E. coli* DH5 $\alpha$  strain to obtain clones. The pACD4K-C vector contains a T7 promoter to express the intron and RNP, and a source of T7 RNA Polymerase was provided by plasmid pAR1219. Therefore, competent ATCC 10031 cells were cotransformed with pAR1219 and recombinant pACDK-4-C, and incubated in LB broth containing ampicillin and chloramphenicol at 37°C for 18 h with shaking at 200 rpm. Next, a new incubation of ATCC 10031 cells was performed with fresh LB broth under the same conditions. When the O.D.<sub>600nm</sub> reached 0.2, the expression of RNP was induced with the addition of 0.1 M IPTG and incubation at 30°C for 30 min with shaking at 200 rpm. Then, the cells were centrifuged for 2 min at 10,000 g, resuspended in fresh LB broth, and incubated again at 30°C for 1 h. Colonies grown on agar plate supplemented with kanamycin were selected after 18 h of incubation at 37°C. Since gene knockout by TargeTron System is based on the insertion of *kan<sup>R</sup>* gene inside *sdiA*, the mutant strain of *K. pneumoniae* ATCC 10031 was renamed *sdiA::kan<sup>R</sup>*.

The complemented strain *sdiA::kan<sup>R</sup><sub>comp</sub>* was obtained by introducing the *sdiA* gene back into the mutant strain. For this, a DNA fragment comprising the entire coding region of *sdiA* plus the 3' and 5' flanking regions was PCR amplified using primers listed on Supplementary Table 3. The DNA fragment was inserted on pCR<sup>TM</sup>2.1 vector (Invitrogen<sup>TM</sup>) previously cloned with erythromycin-resistance gene. Chemically competent *sdiA::kan<sup>R</sup>* was transformed with the recombinant vector and plated on LB agar supplemented with 50  $\mu$ g/mL erythromycin. Complemented strains were recovery by screening erythromycin-resistant colonies.

## Growth Pattern and Optical Microscopy Analysis

The wild-type ATCC 10031, mutant *sdiA::kan<sup>R</sup>*, and complementary *sdiA::kan<sup>R</sup><sub>comp</sub>* strains were separately inoculated into LB medium and grown until saturation

(overnight) at 37°C under shaking. The next day, the culture was diluted 1:100 in fresh LB and the bacterial growth was monitored every 15 min by measuring the O.D.<sub>600nm</sub>. Growth curves were constructed by plotting the O.D.<sub>600nm</sub> values against time. To investigate the morphology of the bacterial cells, 10  $\mu$ L of each culture were harvested at the indicated O.D.<sub>600nm</sub>, stained with fuchsin and visualized under optical microscopy. Results were recorded under 1000  $\times$  magnification. Three independent cultures of each *K. pneumoniae* strain were conducted for the growth pattern and the optical microscopy analysis.

## Biofilm Mass Assay and Pellicle Formation at the Air-Liquid Interface

Biofilm formation assays were carried out conducted in 96-well microtiter plates as described previously (Gomes et al., 2021). Saturated cultures of the bacterial strains were harvested by centrifugation and resuspended in LB broth to a final concentration of 10<sup>6</sup> cells/mL. 150  $\mu$ L of each cell suspension were applied in 96-well microtiter plates containing 150  $\mu$ L of LB supplemented or not with C8-HSL. The plates were incubated at 37°C under static conditions for 8, 24, 48, and 72 h of incubation. After each time, the medium was discarded, and the biofilm mass was gently rinsed with PBS. The wells were left to dry for 5 min and then stained with 0.1% of crystal violet for 15 min at room temperature. After staining, the crystal violet was discarded, the wells were rinsed 3 times with PBS and left to dry for 5 min. The biofilm-associated crystal violet was solubilized with 200  $\mu$ L acetic acid (30%, v/v), and the absorbance of the acetic acid containing the eluted dye was measured at O.D.<sub>600nm</sub> with a Biotek Microplate reader. Biofilm formation assays were conducted in duplicates from three independent cultures of each *K. pneumoniae* strain.

We also investigated the formation of pellicle in air-liquid interface by the *K. pneumoniae* strains. This is a biofilm-like structure that requires a great organization due to the lack of solid surface for fixation. To investigate pellicle formation, the strains were inoculated overnight and subsequently grown in LB medium until O.D.<sub>600nm</sub> of 0.6. The cultures were diluted to obtain a final density of 5  $\times$  10<sup>6</sup> CFU/mL. Six milliliters of each cell suspension were applied in glass tubes and incubated for 72 h under static conditions at 37°C. The formation of pellicles at the air-liquid interface in the glass tubes was recorded using a digital camera. Pellicle formation assays were performed in triplicate for each *K. pneumoniae* strain, and they were not conducted in presence of the autoinducer C8-HSL.

## Phase Variation Assay of the *fimS* Element

The orientation of the *fimS* element, which contains the promoter region of the cluster *fim*, was investigated according to a phase variation assay previously described (Struve et al., 2008). *K. pneumoniae* strains were grown to O.D.<sub>600nm</sub> of 0.6 in LB broth at 37°C with shaking. Cells were harvested and the DNA were extracted with the Wizard<sup>®</sup> Genomic DNA Purification Kit (Promega). DNAs were then used to PCR amplify an 817 bp fragment containing the invertible *fimS* promoter element using

primers CAS168 and CAS169 (Supplementary Table 3). The amplified fragments were cut with *Hin*I restriction enzyme and the pattern of the digested products was determined on 2% agarose gels stained with ethidium bromide. The *Hin*I restriction site is asymmetrically located on the *fimS* element, which results in different cleaved fragments depending on the orientation of the phase switch: a phase switched to the ON orientation results in fragments of 212 and 605 bp, whereas a phase switched to the OFF orientation results in fragments of 321 and 496 bp. The assays were performed from two independent cultures for each strain of *K. pneumoniae*.

### Agglutination Assay

Yeast agglutination assays were performed to investigate the expression of type 1 fimbriae by the *K. pneumoniae* strains. The assays were conducted on Kline concavity slides as described previously, with minor modifications (Gomes et al., 2021). Overnight cultures of the bacterial strains were inoculated (1:100) into fresh LB broth supplemented or not with C8-HSL and cultured until they reached O.D.<sub>600nm</sub> of 0.6. Bacteria were then mixed with 5% (w/v) suspension of *Saccharomyces cerevisiae* cells (Sigma-Aldrich) prepared in PBS. The intensity of the agglutination was documented using a digital camera. The agglutination of the yeast cells is specifically mediated by type 1 fimbriae since these fimbriae have great affinity for mannose, a highly abundant residue on yeast cell-surface. Therefore, the assays were also performed in the presence of 5% D-(+)-Mannose (Sigma-Aldrich) to confirm if the agglutination was indeed mediated by the type 1 fimbriae. Assays were carried out in triplicate for each *K. pneumoniae* strain.

### Indirect Detection of AI-2

The production of AI-2 molecules by the *K. pneumoniae* strains was indirectly measured using the reporter strain *Vibrio campbellii* MM32, as previously reported (Zhu et al., 2008). Initially, the strains were cultured overnight in LB broth containing 2% glucose. On the following day, the cultures were inoculated (1:100) into LB broth supplemented or not with C8-HSL, and samples were collected at O.D.<sub>600nm</sub> of 0.2, 0.4, 0.6, 0.8, and 1.0. Cell-free conditioned culture supernatant was obtained by centrifuging the cultures at 10,000 g and passing the supernatant through a Millipore membrane filter (pore size of 0.22 μm). *V. campbellii* MM32 was grown overnight at 30°C at 200 rpm in AB medium and then diluted 1:5000 in fresh AB medium. 180 μL of the MM32 diluted culture were distributed in 96-well microtiter plates, followed by the addition of 20 μL of the cell-free conditioned culture supernatant. The mixtures were incubated with shaking at 30°C, and the luminescence were measured every 15 h in the equipment GloMax<sup>®</sup> 96 Microplate Luminometer (Promega, Madison, WI, United States). Assays were carried out at least in triplicate for each *K. pneumoniae* strain. The results are expressed as arbitrary luminescence units and were obtained by dividing the light values measured on the experimental samples by the light values of the sterile LB culture medium.

### RNA Extraction and Real-Time Quantitative PCR Analysis

*K. pneumoniae* cells were grown in LB broth at 37°C with shaking at 200 rpm until O.D.<sub>600nm</sub> of 0.2 and 0.6. Cell pellets were obtained after centrifugation, and total bacterial RNA were extracted by using the TRIzol<sup>™</sup> Max<sup>™</sup> Bacterial RNA Isolation and MICROBEnrich<sup>™</sup> kits (Invitrogen<sup>™</sup>), following the manufacturer's instructions. After treatment with DNase, 1 μg of total RNA was reverse transcribed in cDNA using the High-Capacity cDNA Reverse Transcription kit (Applied Biosystems<sup>™</sup>), according to the manufacturer's recommendations. Synthesized cDNA was used in RT-qPCR analyses, using primers listed in Supplementary Table 3.

Primers were designed using Primer3 version 0.4.0 web-program<sup>1</sup> (Rozen and Skaletsky, 2000). Reactions were performed in triplicates on the Applied Biosystems<sup>®</sup> 7300 Real-Time PCR System equipment (ThermoFisher Scientific) with the Platinum<sup>™</sup> SYBR<sup>™</sup> Green qPCR SuperMix-UDG kit (Invitrogen<sup>™</sup>), following the manufacturer's instructions. RT-qPCR results were normalized using *rho* as endogenous gene, which encodes the transcription termination factor Rho (Gomes et al., 2018). The relative expression levels of the genes were calculated using the 2<sup>-ΔΔCt</sup> relative quantification method (Livak and Schmittgen, 2001). GraphPad Prism 7.0 (GraphPad Software, Inc) was used for the statistical analyses.

### Purification of *K. pneumoniae* SdiA Protein and Electrophoretic Mobility Shift Assays (EMSA)

The coding region of *sdiA* gene was amplified by PCR using *K. pneumoniae* genomic DNA as template and primers containing the restriction sites for *Nde*I and *Xho*I (Supplementary Table 3). After digestion with *Nde*I and *Xho*I, the amplicon was cloned into the expression vector pET28a(+) (Sigma-Aldrich) at the corresponding sites, and the resulting recombinant vector was transformed into *E. coli* BL21(DE3). The expression and purification of the histidine-tagged recombinant SdiA protein (His-SdiA) were conducted as described elsewhere (Gomes et al., 2018), with some modifications. Some reports have shown the expression of recombinant SdiA from culture medium supplemented with AHLs autoinducers (Yao et al., 2006; Abed et al., 2014). Since we aimed to conduct EMSA with the *K. pneumoniae* SdiA protein in its apo form (i.e., not complexed with autoinducers), we did not add AHLs in the culture medium, as has been done by others (Kanamaru et al., 2000; Yamamoto et al., 2001; Wu et al., 2008; Kim et al., 2013; Shimada et al., 2014; Nguyen et al., 2015; Lu et al., 2017). In brief, transformed BL21(DE3) was grown in 500 mL of LB medium at 37°C to an O.D.<sub>600nm</sub> of 0.4. At this moment, isopropyl-β-D-thiogalactopyranoside (IPTG, Sigma-Aldrich) was added to a final concentration of 1 mM and the culture was incubated for 4 h. After incubation, in-culture bacterial cell lysis was promoted by adding CelLytic<sup>™</sup> Express 1 mL Tablets (Sigma-Aldrich), following the manufacturer's instructions.

<sup>1</sup><http://bioinfo.ut.ee/primer3-0.4.0/>

The lysed cells were centrifuged at 16,000 g for 15 min to obtain a clarified supernatant. His-SdiA was purified from the clarified supernatant by affinity chromatography under native conditions using Ni-NTA Agarose matrix (Qiagen), following to the manufacturer's protocol. Eluted fractions containing the recombinant SdiA were pooled and dialyzed overnight at 4°C on storage buffer (50 mM Tris-HCl pH 8.0, 2 mM DTT, 0.5 mM EDTA, and 10 % glycerol v/v). The concentration of the purified His-SdiA was determined by the Bradford method and the purity was verified by SDS-PAGE analysis.

EMSA was conducted using the purified His-SdiA protein and DNA probes containing the promoter region of the indicated genes. The probes were generated by PCR amplifications using the primers and conditions displayed on **Supplementary Table 3**. As a negative control, a 220 base pairs DNA fragment was obtained by PCR amplifying a recircularized pCR<sup>TM</sup>2.1 vector (Invitrogen<sup>TM</sup>) without insert using primers M13 (**Supplementary Table 3**). Reactions were performed using 2 or 10  $\mu$ mol of the recombinant His-SdiA protein, previously equilibrated for 15 min at 37°C in 40  $\mu$ L of 1X binding buffer containing 10 mM Tris-HCl pH 8.0, 50 mM KCl, 1 mM DTT, 0.1 mM EDTA, 2.5 mM MgCl<sub>2</sub>, and 2 % glycerol. After pre-incubation, 50 ng of the DNA probes were added and the reaction mixture were incubated for 30 min at 37°C. To investigate the effects of AHLs on the binding affinity of SdiA, EMSA were carried out in the absence and in the presence of 2 or 4  $\mu$ M of C8-HSL. Samples were submitted to electrophoresis at either 2% (w/v) agarose gel at 80 volts for 60 min in 1X sodium borate buffer (5 mM) or native non-denaturing 6% bis-acrylamide gel at 80 volts for 2 h in 1X TBE buffer (89 mM Tris, 89 mM boric acid, and 2 mM EDTA). DNA probe-SdiA complexes formed were visualized under UV light after staining the gels with ethidium bromide solution (0.5  $\mu$ g/mL). Images of the DNA bands were recorded with Molecular Imager<sup>®</sup> Gel Doc<sup>TM</sup> XR System (Biorad) using the Image Lab<sup>TM</sup> Software version 5.0 (Biorad).

## RESULTS

### *K. pneumoniae* Depleted of SdiA Presents Impaired Cell Division and Abnormal Cell Morphology

In order to investigate the role of SdiA in *Klebsiella pneumoniae*, a knockout *sdiA* mutant strain was generated and phenotypically characterized. Firstly, growth curves of the wild-type (ATCC 10031), mutant *sdiA::kan<sup>R</sup>* and complemented *sdiA::kan<sup>R</sup><sub>comp</sub>* strains were assessed. As shown in **Figure 1A**, no significant changes were observed in the growth curves of the mutant and the complemented strains in relation to the wild-type.

However, disruption of *sdiA* led the mutant strain to assume a filamentous phenotype (**Figure 1B**), denoting a failure in bacterial cell division. On the other hand, the morphology of the complemented strain was similar to the wild-type. Analyzing the morphology of the strains throughout growth stages (**Figure 1C**),

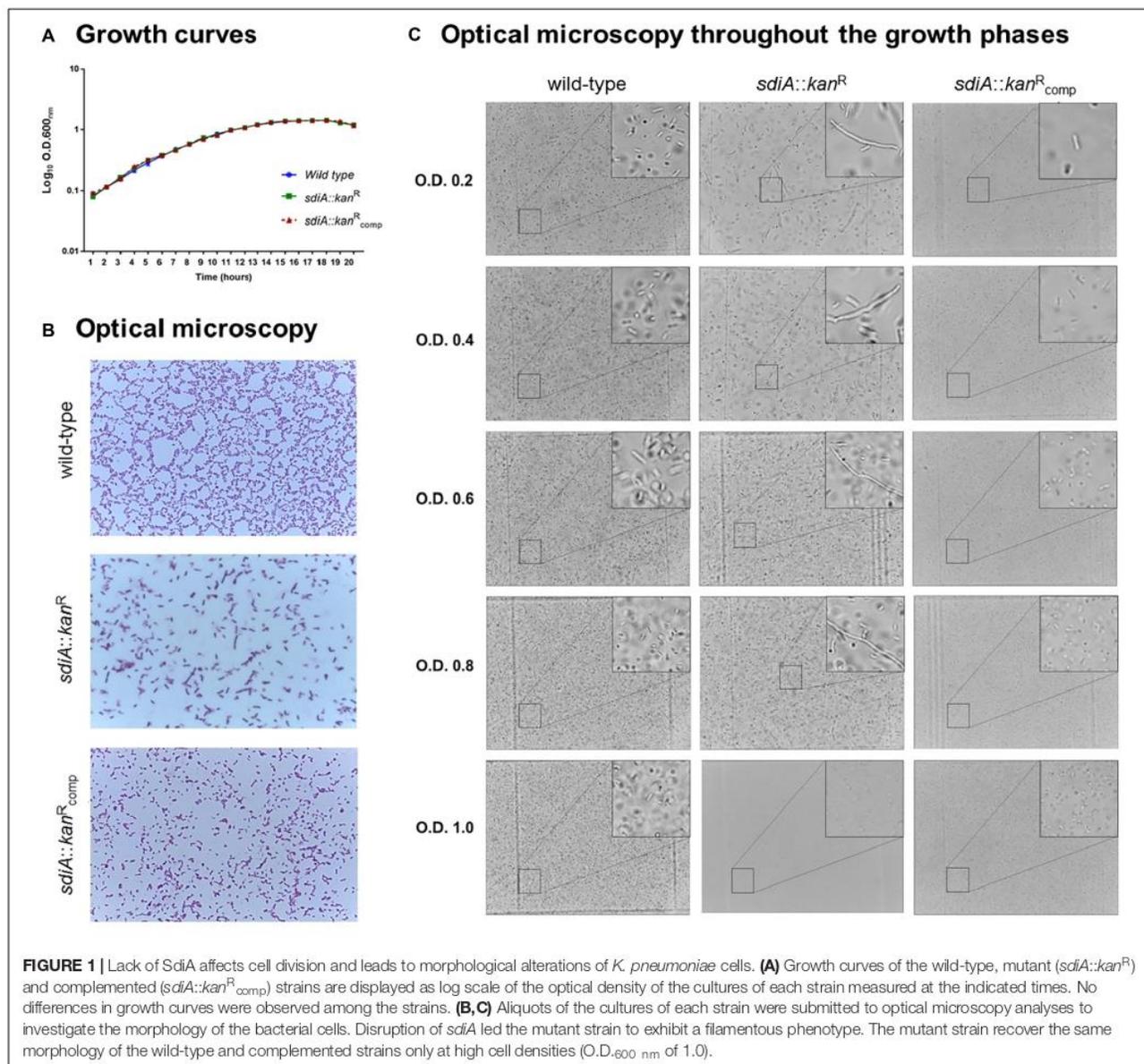
we observed that the mutant strain presents the filamentous phenotype at O.D.<sub>600nm</sub> of 0.2–0.8 and that the cell division of the mutant strain is recovered only at high densities (O.D.<sub>600nm</sub> of 1.0). No changes in the morphology of the wild-type and the complemented strain were observed throughout the growth stages (**Figure 1C**).

Since the mutant strain exhibited a filamentary shape in a manner dependent on the growth phase, we decided to investigate the expression pattern of *ftsQ*, from the *ftsQAZ* cell division gene cluster, and the *rpoS* gene, which encodes the stationary-phase Sigma factor RpoS. As displayed in **Figure 2**, the expression levels of *rpoS* in the mutant strain was almost twofold higher than the level of the wild-type strain at O.D.<sub>600nm</sub> of 0.2, and slightly up-regulated at O.D.<sub>600nm</sub> of 0.6. On the other hand, the expression levels of *ftsQ* in the mutant strain was slightly down-regulated at O.D.<sub>600nm</sub> of 0.2 and unchanged at O.D.<sub>600nm</sub> of 0.6, when compared to the wild-type strain.

### Lack of SdiA Increases Biofilm Formation and Yeast Cells Agglutination, and Leads to Down-Regulation of the Type 3 Fimbriae and Up-Regulation of Type 1 Fimbriae Expression

The role of SdiA as a regulator of biofilm formation is well recognized in many pathogens, but it is still uncertain in *K. pneumoniae*. To assess whether SdiA is also involved in biofilm formation by *K. pneumoniae*, the ability of the *sdiA::kan<sup>R</sup>* mutant strain to form biofilms was compared to the wild-type and complemented strains. As shown in **Figure 3A**, the biofilm formation by *sdiA::kan<sup>R</sup>* was significantly superior than the wild-type after 8, 24, and 48 h of incubation, while the complemented *sdiA::kan<sup>R</sup><sub>comp</sub>* strain restored the pattern originally exhibited by the wild-type. The addition of the AHL C8-HSL had no effect on biofilm formation by the mutant strain, but reduced the biofilm formed by the wild-type and the complemented strains. Both wild-type and *sdiA::kan<sup>R</sup>* strains were able to form a pellicle in the air-liquid interface (**Figure 3B**), but the mutant exhibited a thicker pellicle than the wild-type strain. Moreover, the phenotype was fully re-established on the complemented mutant strain.

Since fimbriae are considered as important mediators of bacterial adhesion and the loss of *sdiA* has resulted in more intense biofilm formation, we sought to investigate the production of fimbriae by the *K. pneumoniae* strains. Firstly, we compared the ability of the *K. pneumoniae* strains to agglutinate yeast cells. As displayed in **Figure 3C**, the mutant strain *sdiA::kan<sup>R</sup>* was able to agglutinate yeast cells with more intensity than the wild-type, while the complemented strain partially recovered the phenotype exhibited by the wild-type. The addition of mannose abolished the agglutination of the yeast cells by the mutant strain, thus confirming that the agglutination was indeed mediated by type 1 fimbriae. The addition of AHL had no effect on the agglutination of the yeast cells by the mutant strain, but reduced the agglutination by the wild-type and the complemented strains.



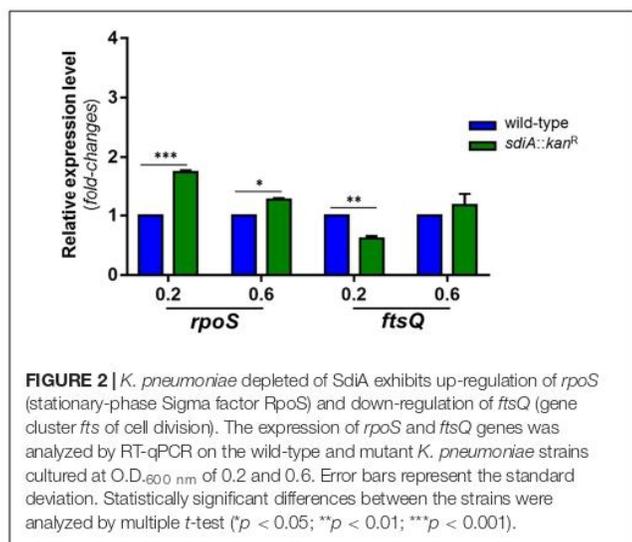
Yeast agglutination is indicative of type 1 fimbriae expression because this type of fimbriae has a great affinity for mannose-containing receptors present on the surface of the yeast cells. To confirm that type 1 fimbriae expression is induced on the mutant strain, we sought to investigate the phase variation of the *fimS* invertible element containing the promoter region of the *fim* gene cluster. The phase variation assay indicated that the mutant strain presents the *fimS* element in the ON orientation (Figure 3D).

To finally confirm the up-regulation of type 1 fimbriae in the mutant strain, analyses of fimbrial genes expression were conducted in the wild-type and *sdiA::kan<sup>R</sup>* strains. As shown in Figure 4, the mutant strain exhibited significantly higher transcription levels of *fimA* (type 1 fimbriae) and slightly lower transcription of *mrkA* (type 3 fimbriae) than the wild-type strain.

There was no difference statistically significant in the expression of *ecpA* (common pillus) between wild-type and mutant strains. These findings seem to indicate that the SdiA regulator modulates the expression of fimbriae in *K. pneumoniae*, by repressing the expression of type 1 fimbriae and inducing the expression of type 3 fimbriae.

### SdiA Deficient *K. pneumoniae* Strain Reaches Maximum Production of AI-2 Earlier

SdiA has been related to inter-species communication since this regulator senses and responds to AHLs synthesized by other bacteria species. To elucidate a possible relationship of SdiA with



the inter-species communication mediated by the Autoinducers-2 (AI-2) QS signaling system, we used an indirect method based on the reporter strain *V. campbellii* to measure and compare the production of signal molecules AI-2 by the wild-type and the *sdiA* deficient mutant strains. According to **Figure 5A**, the mutant strain reaches maximum production of AI-2 after 2 h of culture, which corresponds to the lag phase of growth (O.D.<sub>600nm</sub> of 0.1) according to the growth curve of the strain (**Figure 1A**). On the other hand, the wild-type and the complemented strains took longer and reached the same level of AI-2 as the mutant strain only after 8 h of culture, which corresponds to the mid-log phase of growth (O.D.<sub>600nm</sub> of 0.6, **Figure 1A**). The addition of AHL had no apparent effect on the production of AI-2 molecules by the *K. pneumoniae* strains.

Based on these results, we proceeded to gene expression analyses of *luxS*, *lsrB*, and *lsrR*, genes related to AI-2 synthesis, uptake, and uptake regulation, respectively. As displayed in **Figure 5B**, the expression levels of *luxS* in the mutant strain was more than threefold higher than the wild-type strain at O.D.<sub>600nm</sub> of 0.2 and slightly induced at O.D.<sub>600nm</sub> of 0.6. No statistically significant difference was observed for the expression of *lsrB* between wild-type and mutant strains, whereas the expression levels of *lsrR* was slightly up-regulated at O.D.<sub>600nm</sub> of 0.2 and unchanged at O.D.<sub>600nm</sub> of 0.6 in the mutant strain, compared to that in the wild-type.

### SdiA Binds to the Promoter Region of *ftsQ*, *fimA*, *luxS*, and *lsrR-lsrA*

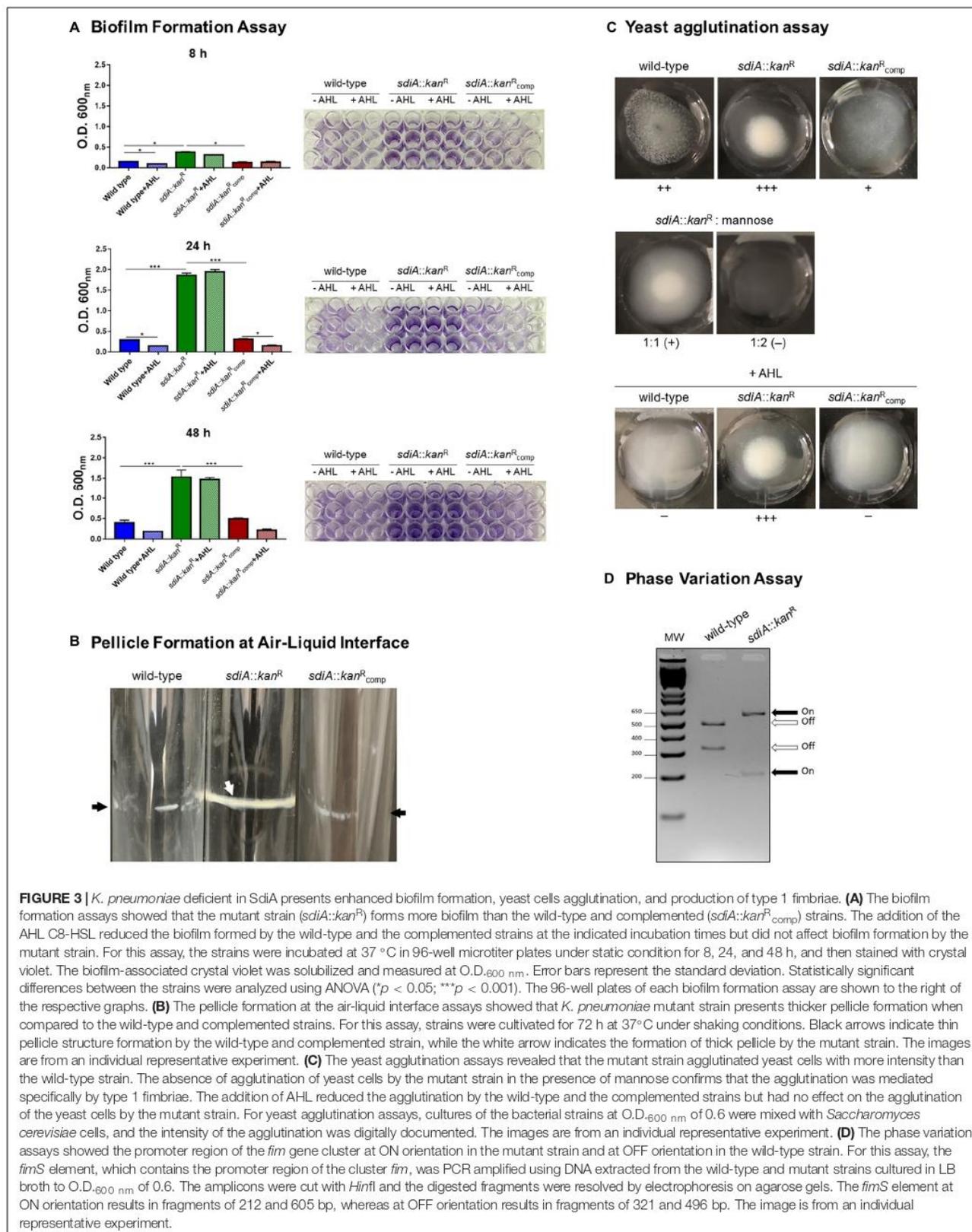
The significant up-regulation of *fimA* and *luxS* in the mutant strain led us to examine whether SdiA exerts a direct role on the expression modulation of these genes by binding on their promoter region. Firstly, bioinformatic analyses were employed to identify putative SdiA-boxes on the promoter region of *fimA*, *luxS* and on the intergenic region between *lsrR* and *lsrA*. The promoter region of the *ftsQAZ* operon was included in the analyses as a positive control because it is well known by

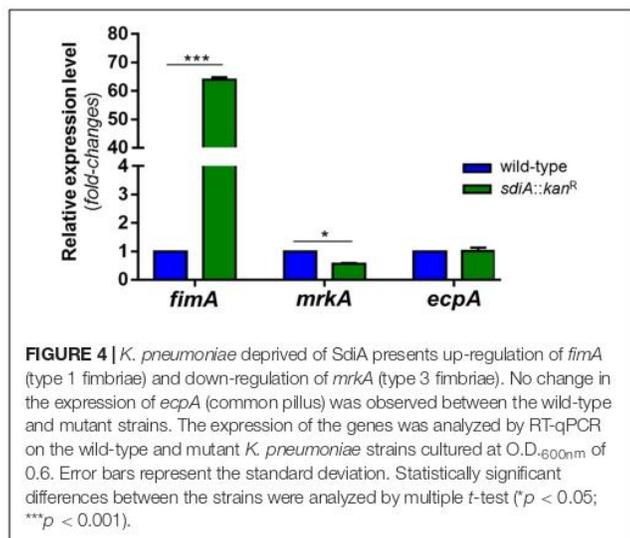
previously published studies that SdiA binds in the promoter region of the operon and controls the transcription of *ftsQAZ* genes (Wang et al., 1991; Sitnikov et al., 1996; Yamamoto et al., 2001; Shimada et al., 2014). SdiA-binding sequences that resembles to the consensus sequence of SdiA-box (5'-AAAA(N<sub>5-30</sub>)AAAA-3') were found on the promoter region of *fimA*, *luxS*, *lsrR-lsrA* and *ftsQAZ* (**Supplementary Table 4**). Next, EMSA was performed using the recombinant His-SdiA protein from *K. pneumoniae* and DNA fragments containing the putative SdiA-boxes as probes. As shown in **Figure 6**, shifted bands corresponding to SdiA-DNA probe complexes were observed only with 10 μmol of the recombinant His-SdiA protein. The addition of C8-HSL, both 2 and 4 μM, had no apparent effect on the shifting mobility of the bands. No shifted bands were observed when His-SdiA was incubated with the negative control probe. These results indicate the direct binding of *K. pneumoniae* SdiA to the promoter region of *fimA*, *luxS*, *lsrR-lsrA* and *ftsQAZ*, and that this binding occurs in an AHL-independent manner.

## DISCUSSION

In many pathogens, the SdiA regulator modulates the expression of several virulence factors, such as adherence and motility (Kanamaru et al., 2000; Sharma et al., 2010), multidrug resistance (Tavio et al., 2010), biofilm formation (Culler et al., 2018) and acid tolerance (Ma et al., 2020). Concerning *K. pneumoniae*, little is known about the role of SdiA in the pathogenesis of this bacterium and, to date, no member of the SdiA regulon had been described in *K. pneumoniae*. In the present study, we provided new insights into the role of SdiA in the expression of virulence factors by *K. pneumoniae* through characterization of a strain depleted from *sdiA* gene. We also investigated the presence of putative SdiA binding sites within the promoter region of genes responsible for the synthesis of type 1 fimbriae, bacterial cell division, and the metabolism of type 2 autoinducers.

First, we compared the growth pattern of the *sdiA* mutant strain with the wild-type and the complemented strains. Although no changes in bacterial growth were observed among the strains, *K. pneumoniae* cells lacking SdiA regulator presented a filamentary shape rather than the typical rod shape, revealing a failure in cell division by the mutant cells. Bacterial cell division relies on the *ftsQAZ* operon, which encodes essential cell division proteins. Regulation of this operon is complex and involves multiple promoters and several transcriptional regulators (Joseleau-Petit et al., 1999). Two promoter regions located upstream of *ftsQAZ* drive the transcription of the entire operon: P1 promoter is controlled by the stationary-phase Sigma factor RpoS and P2 promoter by SdiA (Joseleau-Petit et al., 1999). In *E. coli*, SdiA plays an important role in cell division because positively regulates the transcription of the *ftsQAZ* operon (Wang et al., 1991; Sitnikov et al., 1996; Shimada et al., 2014). In our study, *K. pneumoniae* cells depleted of the SdiA regulator presented down-regulation of the *ftsQ* gene, and EMSA analyses confirmed DNA-binding activity of the purified *K. pneumoniae* SdiA to the *ftsQAZ* promoter. These results suggest that the filamentary shape of the mutant strains seems to be due to the





down-regulation of the *ftsQAZ* operon with consequent failure in septum division, and that SdiA acts as a transcriptional activator of the operon also in *K. pneumoniae*. We also observed a growth-phase dependent effect of SdiA, since the rod-shaped pattern was restored only at the stationary phase of growth. Since *ftsQAZ* expression mediated by SdiA shows cell density dependence and that Sigma Factor RpoS and SdiA act in a coordinated manner to guarantee *ftsQAZ* expression (Sitnikov et al., 1996), the restored rod-shaped pattern observed at stationary phase of growth may be attributed to RpoS. Although RpoS is responsible for gene expression activation when cells enter the stationary phase, the up-regulation of *rpoS* observed at the mutant strain throughout the phases of growth seems to compensate for the absence of *sdiA*.

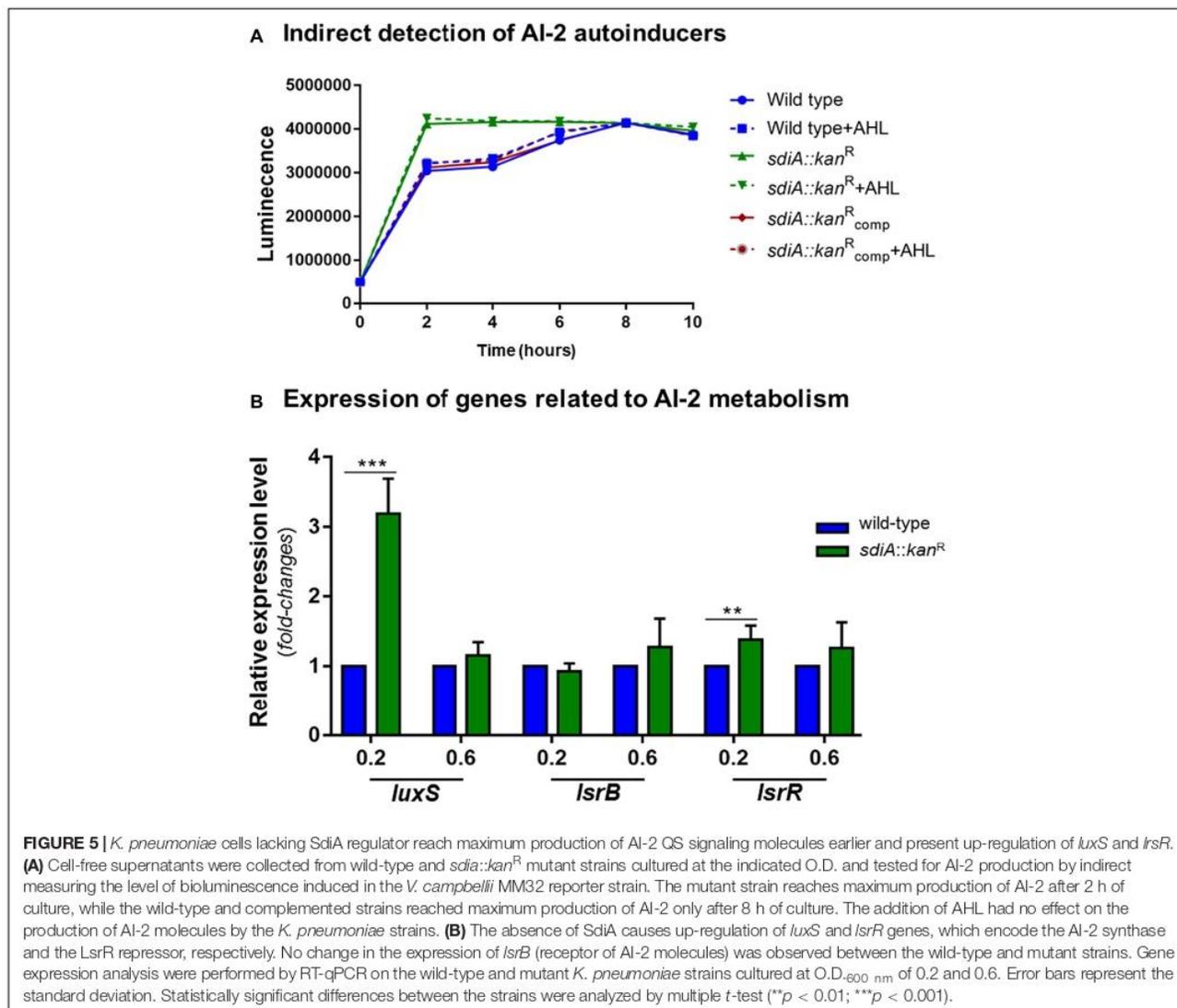
Subsequently, we investigated how the lack of SdiA influences biofilm formation by *K. pneumoniae*. We observed greater biofilm and pellicle formation at air-liquid interface by the *sdiA* mutant strain as compared to the wild-type strain. Biofilm formation in the presence of exogenous AHL rendered distinct results: while no effect was observed for the mutant strain, the wild-type formed less biofilm. These results are in agreement with studies that reported an increase in biofilm formation and pellicle formation by *E. coli sdiA* mutant strains (Lee et al., 2007; Sharma et al., 2010; Culler et al., 2018). Similarly to our findings, these authors also noticed no change in biofilm formation by the mutant strain in the presence of AHL, whereas the wild-type strain has the formation of biofilm inhibited. Our results indicate that SdiA responds to AHL and represses biofilm formation in *K. pneumoniae*.

Expression of fimbriae is the first essential step in biofilm formation by *K. pneumoniae* and previous reports by others indicate that SdiA exerts its effects on biofilm formation by regulating the expression of fimbrial genes (Culler et al., 2018). Recently, Shimada and colleagues reported a direct link between SdiA regulator and expression of type 1 fimbriae encoded by *fim* gene cluster (Shimada et al., 2014). They showed that SdiA binds the promoter region of *fim* cluster, although the

precise binding site has not been determined. These authors (Shimada et al., 2014) and others (Janssens et al., 2007) also described a decrease in *fimA* transcription on the wild-type *E. coli* strain in the presence of AHL. Although the current study has not analyzed gene expression in the presence of AHL, we observed that cells lacking SdiA were able to agglutinate yeast cells with greater intensity than the wild-type strain, and that this agglutination is due specifically to the production of type 1 fimbriae. Likewise observed in biofilm formation assays, no effect on yeast agglutination by the mutant strain was observed when exogenous AHL was added, while the wild-type had its ability to agglutinate yeast cells reduced. RT-qPCR analyses showed up-regulation of *fimA* – the gene encoding the major structural subunit of type 1 fimbriae – in the mutant strain. Corroborating this result, phase variation analyses revealed that the *fimS* element, which contains the promoter region of the type 1 *fim* gene cluster, is oriented at ON position in the mutant strain. Furthermore, EMSA analyses confirmed direct binding of SdiA to an SdiA-box located in the immediate vicinity of the *fimA* initiation codon. All results together suggest that SdiA has a repressive role in the expression of type 1 fimbriae in *K. pneumoniae*, and that the lack of this regulator resulted in a hyperfimbriated phenotype that rendered the mutant strain with greater ability to form biofilm and to agglutinate yeast cells. Thus far, a regulatory role of the QS system on attachment and biofilm maturation by *K. pneumoniae* had been described before, but involving type 2 QS signaling molecules (Balestrino et al., 2005; De Araujo et al., 2010). Here we show that SdiA, the LuxR-type receptor of the AI-1 QS system, has a suppressive role in bacterial adherence and biofilm aggregation by *K. pneumoniae* as well.

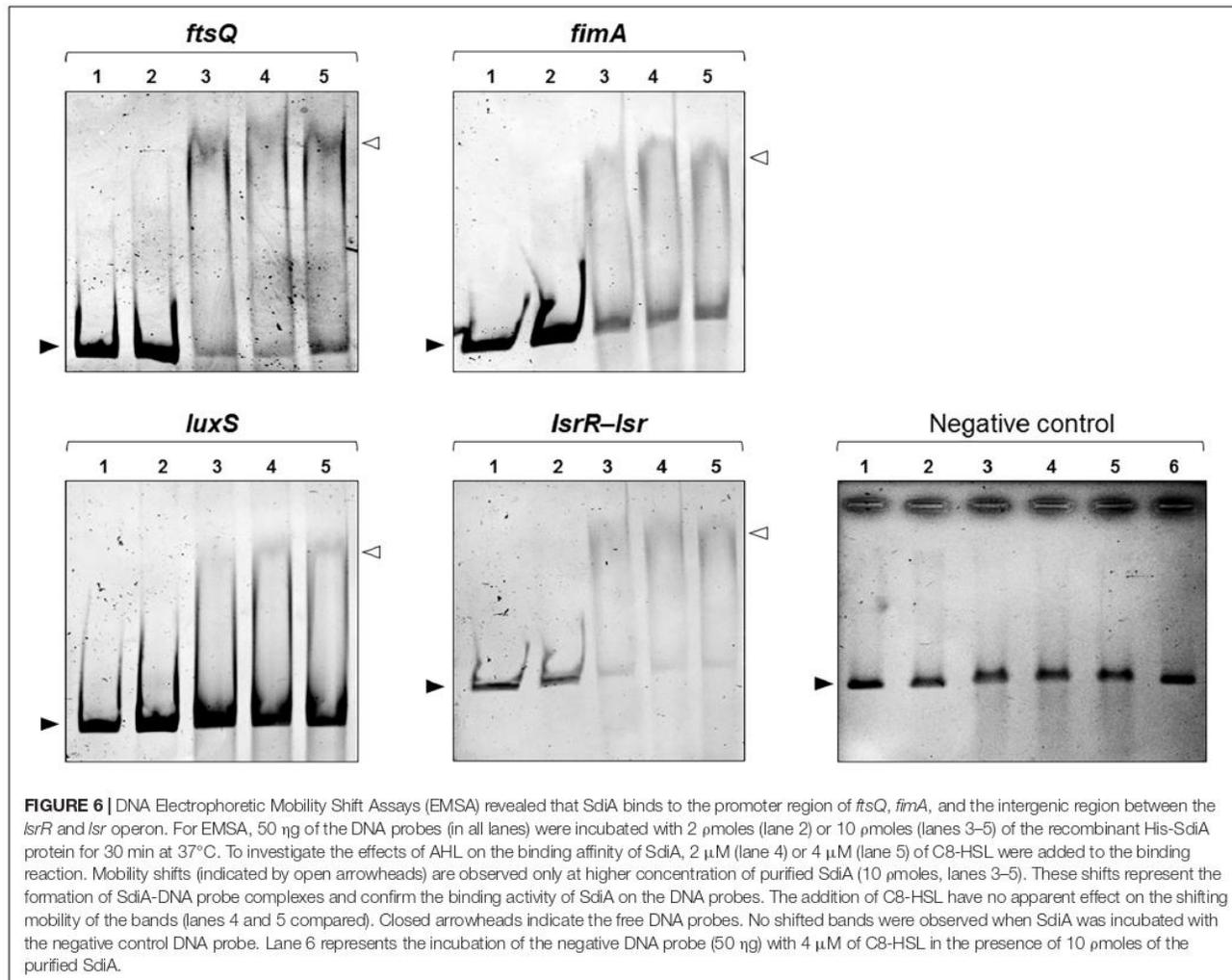
It has been reported that AHL autoinducers function as a folding switch for the regulation of some LuxR homologues (Zhu and Winans, 2001; Schuster et al., 2004; Urbanowski et al., 2004; Lee et al., 2006). In these cases, the receptors only assume their active functional structure and with higher DNA-binding activity when complexed with their cognate AHLs ligands. For these LuxR receptors, AHLs are required for proper folding of the protein, stabilizing it, and preventing it from degradation; *in vitro* purification of these LuxR receptors in the active soluble form requires the presence of AHL in the culture medium used for bacterial expression. Interestingly, AHL molecules elicited phenotypic changes in the wild-type *K. pneumoniae* strain, but they had no apparent effect on the DNA-binding affinity of the recombinant SdiA protein, as revealed by EMSA analyses. Moreover, the ability of the purified SdiA to bind to the promoter region of the target genes indicates that the recombinant protein is correctly folded, even though it was expressed in a culture medium without supplementation with AHLs. These results suggest that AHL may not be a folding switch for *K. pneumoniae* SdiA, and the proper folding of SdiA in the absence of AHL during *in vitro* expression may be due to the binding of a yet unknown endogenous non-AHL ligands such as 1-octanoyl-rac-glycerol (Nguyen et al., 2015), in a mechanism that has already been suggested for other bacterial species (Sabag-Daigle et al., 2015).

In this study, we observed DNA-binding activity of *K. pneumoniae* SdiA in an AHL-independent manner. Although



previous reports have shown the higher affinity of SdiA with DNA when complexed with AHLs (Nguyen et al., 2015), our results are in agreement with other reports that show DNA-binding activity of SdiA even in the absence of ligands (Yamamoto et al., 2001; Dyszel et al., 2010; Shimada et al., 2014; Nguyen et al., 2015). For instance, Kim et al. (2013) reported no effect of AHL to the binding activity of SdiA toward the promoter region of *ftsQAZ* operon, and that the AHLs increase the transcriptional activity of SdiA by promoting protein stability rather than by affecting the DNA-binding affinity of the protein. However, it is important to remind that in our study, EMSA was performed using the synthetic AHL *N*-Octanoyl-L-homoserine lactone (C8-HSL). Given the variety of AHL molecules according to the degree of oxidation and saturation and the length of the *N*-acyl side chains, further studies with other AHLs are needed to explore in more detail whether and how these autoinducers can modulate the DNA-binding activity of SdiA in *K. pneumoniae*.

The production of AI-2 signaling molecules was indirectly measured in the wild-type and mutant *K. pneumoniae* strains by performing bioluminescence assays with the AI-2 reporter strain *Vibrio campbellii*. We observed that when a functional SdiA is present, the production of AI-2 increases as the phases of bacterial growth progress. This increase in AI-2 production is consistent with the role of these signaling molecules as monitors of cell population density (Xavier and Bassler, 2005a; Rutherford and Bassler, 2012; Kendall and Sperandio, 2014). Our results are in accordance with a previous report indicating maximal accumulation of AI-2 by *K. pneumoniae* in the late-exponential phase (Balestrino et al., 2005). Intriguingly, *K. pneumoniae* cells without SdiA regulator show constant production of AI-2 molecules at maximum levels, regardless of the growth phases of the bacteria. Bacteria control population density by sensing and responding to AI-1 and AI-2 QS signaling molecules. The activation of the AI-2 QS system on the mutant strain, as revealed



by the maximum production of AI-2 molecules, seems to indicate an attempt by the mutant strain to compensate for the loss of cell density control due to the SdiA absence.

Traditionally, intra- and inter-species communications in Gram-negative bacteria are attributed to QS systems mediated by type 1 and type 2 autoinducers, respectively. How these two QS regulatory systems are connected is controversial and remains poorly understood. While some authors report that *sdiA* and *luxS* work independently (Surette and Bassler, 1999), others suggest that SdiA plays a role in regulating AI-2 uptake and processing (Smith et al., 2010). For instance, DeLisa and coauthors observed that exogenous AI-2 slightly activates the transcription of *sdiA* in an *E. coli luxS* mutant strain (DeLisa et al., 2001), although it cannot be excluded that this activation is due to metabolic changes in the methyl cycle in the *luxS* mutant. More recently, Zhou and colleagues suggested that AI-1 and AI-2 QS systems might be linked in *E. coli* through a synergistic action of SdiA and YdiV to regulate the intracellular concentration cAMP (Zhou et al., 2008). According to these authors, SdiA

activates *ydiV* expression by binding on its promoter region in the presence of exogenous AI-1 signaling molecules. YdiV, an EAL domain protein, regulates the production of cAMP that, in turn, positively regulates the expression of *lsrR* and the *lsr* operon. In the present study, we observed up-regulation of *luxS* in the mutant strain throughout the growth stages and slightly induction of *lsrR* at the initial phase of growth. We also observed the DNA-binding activity of SdiA on the *luxS* promoter region and the intergenic region between *lsrR* and *lsr* operon. Although the results presented here seem to indicate a possible direct role of SdiA in the synthesis, uptake, and processing of AI-2 molecules, more studies need to be performed to further strengthen this hypothesis and to better understand how SdiA plays a role in the interaction of AI-1 and AI-2 QS systems in *K. pneumoniae*.

Indeed, the regulatory circuits that integrate and command both AI-1 and AI-2 QS systems are unknown and likely complex. Although LuxR regulators have been originally associated with intra-species signaling, SdiA is a LuxR-type regulator

involved not only in inter-species signaling (Michael et al., 2001; Dyszel et al., 2010; Lu et al., 2017) but also in interkingdom communication and according to environmental cues (Smith et al., 2008; Ghosh et al., 2009; Hughes et al., 2010; Wang et al., 2020). SdiA is an orphan LuxR-type regulator encoded by bacteria that do not produce their own AHL and, as such, do not detect endogenous AHL but autoinducers produced by other bacteria. Structural analyses indicate that the ligand-binding domain of SdiA is wide and open enough to accommodate a variety of AHLs molecules, and it can sense and respond to a variety of ligands that can be not only exogenous or synthetic AHL, but also non-AHL chemical compounds (Kim et al., 2013; Nguyen et al., 2015; Styles et al., 2020). This ability to respond to a range of molecules and environmental signals is consistent with the possible role of SdiA as a master modulator for both intra- and inter-species communication.

In summary, *K. pneumoniae* encodes SdiA, an orphan LuxR-type QS regulator since *K. pneumoniae* does not produce its own AHL autoinducers. Nonetheless, SdiA recognizes and responds to AHL produced by other species, indicating some level of inter-species cell-cell communication mediated by SdiA. We herein showed the role of the SdiA regulator in the pathogenesis of *K. pneumoniae* by controlling fimbriae expression, biofilm formation, and production of QS autoinducers. We also determined for the first time the SdiA binding sites within the promoter region of type 1 fimbrial gene cluster *fim*, the *ftsQAZ* cell division gene cluster, and the *luxS* and *lsrA-lsrR*, genes related to the synthesis and processing of AI-2 molecules in *K. pneumoniae*. As SdiA detects and responds to AHL produced by other species, we suppose that the modulation of these virulence factors may be orchestrated in a coordinated manner via SdiA-mediated inter-species communication.

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## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## AUTHOR CONTRIBUTIONS

LFCF and TP conceived and designed the experiments. TP, AEIG, NMGS, and LA executed the experiments and the analysis. MD and HV contributed with reagents, materials, and analysis tools. LFCF and TP wrote the manuscript. MD and HV assisted with critical revision of the manuscript and LFCF coordinated its revision. All authors contributed to the manuscript revision and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmicb.2021.597735/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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#### 4. CONCLUSÃO

- A interrupção do gene *sdiA* dificultou a divisão celular de *K. pneumoniae*. Entretanto, a bactéria mutante foi capaz de superar a falha na divisão celular quando em alta densidade populacional, mediante indução da expressão do gene que codifica o fator Sigma de fase estacionária RpoS.
- A inativação do gene *sdiA* induziu a expressão de *fimA*, que codifica a maior subunidade das fímbrias do tipo 1, indicando que SdiA atua como um repressor de fímbrias do tipo 1.
- Como consequência da indução do gene *fimA*, a cepa mutante foi capaz de formar mais biofilme e uma película na interface líquido-ar mais espessa que as cepas selvagem e complementar. Além disso, a cepa mutante foi capaz de aglutinar células de leveduras com mais intensidade do que as cepas selvagem e complementar.
- A adição de AHLs (N-Acil Homoserina Lactonas), que são autoindutores do tipo 1 (AI-1), reduziu a aglutinação de leveduras e a formação de biofilme após 24 hs de incubação pela cepa selvagem e pela cepa complementar, mas não teve efeito na aglutinação nas células de levedura pela cepa mutante. Esses resultados indicam que o regulador SdiA responde a autoindutores de origem exógena e tem seus efeitos mediados por AHLs.
- A identificação e validação de sítios de ligação do regulador SdiA na região promotora de genes responsáveis pela síntese de fímbrias, pela maquinaria da divisão celular bacteriana, e pelo metabolismo de autoindutores do tipo 2, indicam que SdiA modula a expressão desses genes
- A indução na expressão do gene *luxS*, que codifica a enzima que sintetiza autoindutores do tipo 2 (AI-2), e o aumento da produção de AI-2 observados na cepa mutante indicam que SdiA interfere direta ou indiretamente no metabolismo de AI-2 em *K. pneumoniae*.
- Embora SdiA seja homólogo aos reguladores do *quorum-sensing* envolvidos em comunicações intraespécies mediados por autoindutores AI-1, em *Klebsiella pneumoniae* o regulador SdiA modula a expressão de fatores de virulência ao responder a AI-1 de origem exógena, o que indica um papel deste regulador na comunicação interespecíes por esta bactéria.

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